Account Opening for INDIVIDUAL

Angel Ey



Branch Code	Sub-broker/AP Code	
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FOR CSO USE ONLY

Form No.	1234567890
Client Code	
DP ID	
Ver.: 2.1	May 2015



Full Name of Verifier:	

Employee Code:

KYC Checklist



*Copies of all documents to be self attested.

 I. General □ Passport size photo □ PAN Card □ Signed across □ Pan Verification □ Clients signature on all required Places □ In person verification stamp on all docs (Individuals) □ Original seen and verified stamp on all docs □ Witness Signature □ All Copies Self Attested □ Agreement Date □ Financial Documentary Proof 	VI. HUF Pan Card of Karta Address Proof of Karta Pan Card (HUF) Deed of Declaration of HUF Address proof of HUF Signature Coparcener on POA HUF Stamp HUF Demat Proof (Trading only) List of Coparceners Photograph of Karta Bank Pass Book in the name of HUF name of HUF
II. Proof of Identify Holders: □ Ist holder□ 2nd holder□ 3rd holder □ PAN card with Photograph □ UID (Aadhaar) Any other Supporting doc; III. Proof of Permanent Address Holders: □ Ist holder□ 2nd holder□ 3rd holder □ Ration Card □ Valid Passport □ Voter ID □ Valid Driving License □ Bank Passbook □ Electricity Bill (Not more than 3 months old) □ Sank Statement (Not more than (N	VII. Corporate MOA, AOA & COI Photo of Directors Company Address Proof Form 18 All Director's PAN Last 2 Years Financials (to be Submitted every year) Copy of latest holding pattern (to be Submitted every year) Authorised signatory list with specimen signatures Certified copy of BR Company PAN Hotorised Directors's Address Proof IT Returns New Company- Network Certificate PAN of Individual Promoters PAN of Person Authorised to deal in Securities
3 months old) 3 months old) □ Registered Lease □ Bank Verification Letter or Sale Agreement Any other Supporting doc:	VIII. Demat Proof (Latest of 1 year - Only for Trading) □ Client Master □ Welcome Letter □ DP Statement □ Transaction cum Holding Statement
IV. Proof of Correspondence address □ Ration Card □ Valid Passport □ Voter ID □ Valid Driving License □ Bank Passbook □ Electricity Bill	IX. Partnership A/c □ Identity Proof □ Address Proof (all partners) (all partners) □ Partnership Deed copy □ Signatory Letter □ PAN of Partners □ Photos of Partners □ Copy of Balance sheet for last 2 yrs □ Authorised signatory list with specimen signatures □ Certificate of Registration
(Not more than 3 months old) Bank Verification Letter	X. <u>Trust</u>
V. Bank Proof With MICR Code (HUF, NRI, Corporate) □ Cancelled cheque □ Bank Statement □ Pass book □ Letter from bank	 □ Copy of Balance sheet for last 2 yrs □ Certificate of Registration In Trust Deed copy □ PAN of trustees □ List of trustees certified by managing trustees / CA □ Address Proof (all trustees) □ Photos of trustees
XI. Financial Documentary Proof	
 □ Copy of ITR Acknowledgement □ Copy of Annual Accounts □ Copy of Form 16 in case of salary income 	k account statement for last 6 months by of demat account Holding statement. other relevant documents substantiating ownership of assets. declaration along with relevant supporting

Registered/Correspondence Office: G-1, Ackruti Trade Centre, Road No. 7, MIDC, Andheri (E), Mumbai - 400 093. Tel.: 2835 8800 / 3083 7700 Fax: 2835 8811. Website: www.angelbroking.com.

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
	MANDATORY DOCUMENTS AS PRE	SCRIBED BY SEBI & EXCHANGES	
1.	Account Opening Form and KRA Form	A. KYC Form - Document captures the basic information about the constituent and an instruction/check list.	1 to 11
1.	Account Opening Form and NIVA Form	B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.	1 to 11
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges/Fee Structure	12 to 13
3.	Beneficial Ownership Determination Form	Document for determination of Natural person/s who ultimately own, control/influence a client and/or persons on whose behalf a transaction is being conducted.	14 to 15
4.	 ▼ Declaration by HUF & consent letter ▼ Declaration by partnership Firm ▼ Board Resolution (Trading / Demat) 	Declarations / Documents required from different persons for execution of various client registration documents.	16 to 18
5.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless technology based trading).	SEPARATE COPY FOR CLIENT
6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	СОРУ
7.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	PARATE
8.	Rights and Obligations for DP	Document stating the Rights & Obligations of Beneficial Owner and Depository Participant.	SEF
9.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	19 to 20

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER											
1.	Voluntary Terms & Conditions	Additional terms & conditions specific to Angel for the purpose of operational efficiency.	22 to 24								
2.	Running Account authorization	Authorization to maintain a running account	24								
3.	Power of Attorney for the purpose of settlement / Margin obligation	POA provided by BO to Angel for settlement / Margin purpose	25 to 26								
4.	Declaration on Mobile Number / Email Id	Declaration from client for updation of Mobile Number / Email Id	27								
5.	Mutual Fund Service System facility (NSE) / BSE Star MF	Availing facility for online dealing in units of Mutual funds in NSE / BSE	27								

IMPORTANT NOTE

- 1. Signature Types:
 ☐ Signature of the Client / First Holder
 ☐ Signature of the Witness
 ☐ Signature of the Witness
 ☐ Signature of the Broker
 ☐ Signature of Second Holder
 ☐ Signature of Third Holder
- 2. In case of any correction in the form -Sign next to the correction done & Sign has to match the original signature

Angel Broking Pvt. Ltd.

Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd. / CDSL-Depository Participant

Cash and F&O Segment (Trading cum Clearing Member)

SEBI Registration No.: BSE (Cash) INB 010996539 **Date**: 31/12/1997 | NSE (Cash / F&O) INB/INF 231279838 **Date**: 19/2/2007

TM Code - BSE 612 TM Code - NSE 12798 (For Cash & F&O)

Currency Derivatives Segment (Trading cum Clearing Member)

SEBI Registration No.: NSE INE 231279838 Date: 27/8/2008

TM Code - NSE Currency 12798

CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-234-2004 Date: 12/5/2004 | CDSL DP ID: 12033200

Compliance Officer Name: Mr. Anoop Goyal. Tel.: 91 22 3941 3940. Email ID: compliance@angelbroking.com. CEO Name: Mr. Vinay Agrawal. Tel.: 1860 200 2006 / 1860 500 5006. Email ID: feedback@angelbroking.com.

For any grievance/dispute please contact Angel Broking Private Limited at the above address or email id: feedback@angelbroking.com and Phone no.: 1860 200 2006 / 1860 500 5006. In case not satisfied with the response, please contact the concerned exchange(s): BSE Email: is@bseindia.com & Tel No: (022) 2272 8097 | NSE Email: ignse@nse.co.in & Tel No: (022) 2659 8190





Know Your Client (KYC) | Application Form (For Non-Individuals Only)

Application No.:

Please fill in FNGLISH and in BLOCK LETTERS with black ink

Trease this in English and in Degard Let Tello with black link										
A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)										
1. Name of Applicant PIRST PHOTOGRAPH										
2. Date of Incorporation Place of Incorporation Place of Incorporation Place affix your										
3. Date of commencement of business DDMMYYYYY (1/19) recent passport size photograph of										
4. a. PAN b. Registration No. (e.g. CIN) Authorised Signatory and sign across it										
5. Status (Please tick any one (🗸) 🗌 Private Limited Co. 💮 Public Ltd. Co. 💮 Body Corporate 💮 Trust										
Partnership Charities NGO's FI FII HUF AOP Government Bod										
Bank Non-Government Organization Defense Establishment BOI Society LLI										
Others (please specify)										
B. ADDRESS DETAILS (PLEASE SEE GUIDELINES OVERLEAF)										
1. Address for Correspondence										
City/Town/Village Pin Code Pin Code										
State Country Country										
2. Contact Details: Tel (Off.) STD TEL NO Tel (Res.) STD TEL NO										
Mobile No. Fax STD FAX NO										
Email id										
3. Specify the proof of address submitted for Correspondence address:										
4. Registered Address (if different from above)										
Cit./Taury A (illa sea										
City/Town/Village Pin Code Country										
C. OTHER DETAILS										
1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:										
2. a) DIN of whole time directors										
b) Aadhaar number of Promoters/Partners/Karta										
(Please fill in the details as provided in Annexure)										
DECLARATION										
I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.										
Date: Name & Signature of the Authorised Signatory (2/19)										
FOR OFFICE USE ONLY										
AMC / Intermediary name OR code Seal/Stamp of the intermediary Should contain										
Staff Name and Designation										
(Attested) True copies of documents recieved										

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- 1. Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

- PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- 2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State
 Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings,
 Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities,
 Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit
 cards/Debit cards issued by Banks.
- C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission).

- Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
- . Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook Not more than 3 months old
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government
 and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled
 Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and
 Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.
- D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)
- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50,000/- p.a.
- 5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. Copies of the Memorandum and Articles of Association and certificate of incorporation. Copy of the Board Resolution for investment in securities market. Authorised signatories list with specimen signatures
Partnership firm	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered partnership firms only). Copy of partnership deed. Authorised signatories list with specimen signatures. Photograph, POI, POA, PAN of Partners.
Trust	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered trust only). Copy of Trust deed. List of trustees certified by managing trustees/CA. Photograph, POI, POA, PAN of Trustees.
HUF	PAN of HUF. Deed of declaration of HUF/ List of coparceners. Bank pass-book/bank statement in the name of HUF. Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	 Proof of Existence/Constitution document. Resolution of the managing body & Power of Attorney granted to transact business on its behalf. Authorized signatories list with specimen signatures.
Banks/Institutional Investor	 Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	Copy of SEBI registration certificate. Authorized signatories list with specimen signatures.
Army/ Government Bodies	Self-certification on letterhead. Authorized signatories list with specimen signatures.
Registered Society	 Copy of Registration Certificate under Societies Registration Act. List of Managing Committee members. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

ANNEXURE

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

	Photograph			
PAN of the Applicant	Whether Politically Exposed	□ PEP □ NO	□ PEP □ NO	□ PEP □ RPEP □ NO
	Relationship with Applicant (i.e. promoters, whole time directors etc.)			
	Residential / Registered Address			
	DIN (For Directors)/ UID (For Others)			
	Name			
Name of Applicant	PAN and Aadhaar			
Name o	Sr. No.			

ANNEXURE

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name	Name of Applicant				PAN of	PAN of the Applicant	
Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						□ PEP □ RPEP □ NO	
						□ PEP □ RPEP □ NO	
						□ PEP □ RPEP □ NO	

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients)

Application No.:

TRADING ACCOUNT RELATED DETAILS

A. BANK ACCOU	INT(S) DET	AILS																							
1. Bank Name																									
Branch Address																									
Bank A/c No.												MI	CR	Nu	mbe	er									
IFSC Number						A	с Ту	ре		;	Sav	ings	3		Cur	ren	t		NRI		N	IRE		N	RO
2. Bank Name																									
Branch Address																									
Bank A/c No.												MI	CR	Nu	mbe	er									
IFSC Number						A	c Ty	ре		,	Sav	rings	6		Cur	ren	t		NRI		١	IRE		N	RO
B. DEPOSITORY	ACCOUNT	(S) DETAI	LS							i	i	i	i	i	i	i	i	i	i	i	i			i	
1. DP CDSL	N:	SDL																							Π
Depository Particip																									
Beneficiary Name																									
DP ID No.			Ben	eficiary	, ID																([Defa	ult for	Pay	out/
2. DP CDSL	N:	SDL																							
Depository Particip																									
Beneficiary Name	ant Name]					
DP ID No.						Dor	ofici	on i l																	
ווי און און און און און און און און און						Dei	lelici	ary I	ט _										_][
C. TRADING PRE	EFERENCE	S																							
*Please sign in the	e relevant bo	oxes where	you	wish to	trade	. The	segn	nent	not	chc	ser	n sh	oula	l be	e str	uck	off	by t	he c	lient					
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						E	xcha	inge	S																
	Cash	(3/19)														_	<u>م</u>								
		(3/13)					1		BSI	E		(Cas	h			<u>1</u> 9)								
NSE	F&O	(4/19)																							
	Currency Derivative	(5/19)																							
If, in future, you wa	ant to trade o	on any nev	/ segr	nent/ne	ew exc	chang	e, se	para	ite a	uth	oriz	atio	n/lei	tter	wil	l be	take	en.							
D. PAST ACTION	IS		۰		۰	۰	i		i			i	i			i	i		i	i	i		۰	i	
Details of any actio	n/proceedin	ngs initiated	d/pend	ding/ tal	ken b	y SEB	I/ St	ock (exch	and	ge/a	any (othe	r a	utho	oritv	aga	ains	t the	apr	olica	nt/co	onstit	uen	t or
its Partners/promot	-	-	-	-							-	-				-	_						No		
If yes, please speci	ify																								

E. DEALINGS THROUGH SUB-BROKERS/AUTHORISED PERSON (AP) AND OTHER STOCK BROK	EK3										
If client is dealing through the Sub-broker/AP, provide the following details:											
Sub-broker/AP Name											
SEBI Registration / Exchange number											
Registered office address											
Contact Details Tel (Off) S T D T E L N O Tel (Res) S T D		TE	L		N	0					
Website FAX S T D		ТЕ	L		N	0					
Whether dealing with any other Stock broker/Sub-broker/AP (if case dealing with multiple Stock brokers/Sub-broker/AP)	ıb-bro	kers//	λP, pı	rovid	e de	tails))				
Name of stock broker											
Name of Sub-broker/AP (if Any)											
Client Code Exchange											
Details of disputes/dues pending from/to such stock broker/sub- broker:											
F. ADDITIONAL DETAILS											
1. Whether you wish to receive Electronic Contract Note or Physical Contract Note - Specify Physical Electronic Specify your Email id: As Per Email ID specified in KRA KYC											
Specify your Email id: As Per Email ID specified in KRA KYC 2. Whether you wish to avail the facility of internet trading and Mobile Trading (please specify) Yes No											
3. SMS and Email alerts facility by Stock Exchanges / CDSL											
I/We accord our consent to receive the following:											
a. SMS alerts Yes No b. Email alerts Yes No c. SMS and e	email a	alerts		Yes	3	1	No				
The mobile number and email Id as specified in the KRAKYC shall be used for the purpose of receiving details			anca	ction	·C'						
Mobile No. is registered in the name of Self Others	Oi vaii	บนจ แ	ansa	Cuon	э.						
In case registered in the name of any other person please specify the following:											
Name of the person:											
Relationship with the Client											
4. Number of years of Investment/Trading Experience Any other information											
5. Nature of business (Product / Services provided)							_				
6. Please tick, if applicable for any of your Authorised Signatories											
Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)											
7. Whether any of your Authorised Signatories/ Promoters / Partners / Karta / Trustees & whole time Direct	tors aı	e any	of th	ne fol	lowi	ng, o	r				
are directly or indirectly related to any of the following: Yes No											
Civil Servant Politician Current or former head of state Bureaucrat (Tax authorities, Foreign Services, IAS etc.) Current or former MP, MLA, MLC Connected to Media											
Connected to any promoter group of company listed on any stock exchange Name of the Company											
9. Secondary Source of Income Royalties Bank Interest Rental Dividend Others (Specify)											

OTHER DETAILS FOR 1ST HOLDER
1. Gross Annual Income Details Please tick () Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs
OR Net worth in ₹ (*Net worth should not be older than 1 year) as on (date)
2. Name, PAN, DIN/UID, residential address and photographs of Promoters / Partners / Karta / Trustees / whole time directors (Please use the Annexure to fill in the details)
3. Is the entity involved / providing any of the following services Yes No
- For Foreign Exchange / Money Changer Services Yes No - Money Lending / Pawning Yes No
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)
4. Any other Information:
OTHER DETAILS FOR 2ND HOLDER
1. Gross Annual Income Details Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs
OR Net worth in ₹ (*Net worth should not be older than 1 year) as on (date) □ □ M M Y Y Y Y
2. Name, PAN, DIN/UID, residential address and photographs of Promoters / Partners / Karta / Trustees / whole time directors (Please use the Annexure to fill in the details)
3. Is the entity involved / providing any of the following services Yes No
- For Foreign Exchange / Money Changer Services Yes No - Money Lending / Pawning Yes No
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)
4. Any other Information:
OTHER DETAILS FOR 3RD HOLDER
1. Gross Annual Income Details Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs
OR Net worth in ₹ (*Net worth should not be older than 1 year) as on (date)
2. Name, PAN, DIN/UID, residential address and photographs of Promoters / Partners / Karta / Trustees / whole time directors (Please use the Annexure to fill in the details)
3. Is the entity involved / providing any of the following services Yes No
- For Foreign Exchange / Money Changer Services Yes No - Money Lending / Pawning Yes No
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)
4. Any other Information:

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Individual's Full Name		F		R	S	Т				M	D	D	L	Е								L	А	S	Т		
Residential Address																											
								City/to	wn/vi	llage								Р	in C	ode							
State									Cou	untry									Ger	nder		Ma	ale		Fe	mal	е
Designation									Dat	e of b	irth	D	D	M	M	Υ	<u> </u>	/	Y	Υ							
Nationality										Tel		S	Τ	D		Τ	Е	L		N	0						
UID										PAN																	
Individual's Full Name		F		R	S	Т				M	D	D	L	Е								L	А	S	Т		
Residential Address																											
								City/to	wn/vi	llage								Р	in C	ode							
State									Cou	untry									Ger	nder		Ma	ale		Fe	mal	е
Designation									Dat	e of b	irth	D	D	M	M	Υ	<u> </u>	/	Y	Υ							
Nationality										Tel		S	Т	D		Τ	Е	L		N	0						
UID										PAN																	
Individual's Full Name		F		R	S	Т				M	D	D	L	Е								L	А	S	Т		
Residential Address																											
																						Т					
								City/to	wn/vi	llage								Р	in C	ode							
State									Cou	untry									Ger	nder		Ma	ale		Fe	mal	е
Designation									Dat	e of b	irth	D	D	M	M	Υ	<u> </u>	/	Y	Υ							
Nationality										Tel		S	Т	D		Τ	Е	L		N	0						
UID										PAN																	
Individual's Full Name		F	ı	R	S	Т				M	D	D	L	Е								L	А	S	Т		
Residential Address																											
																						Ļ	<u> </u>	Ļ	L		
								City/to	wn/vi	llage							L	Р	in C	ode		Ļ		Ļ	L		
State									_	untry										nder		Ma	ale		Fe	mal	е
Designation									Dat	e of b	irth	D	D	M	M	Y			Y	Y		1	٦				
Nationality]	Tel		S	Τ	D		Т	Е	L		N	0						
UID										PAN																	
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							_						_								_						
Red Passpo	cent					Pa		ecent oort Si	7e			Recent Passport Size								ent rt Si	ize						
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	nly							Only						Only							On						
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Sr. No.		Name of Authorized Person																		S	Sig	natu	ıre(s) a	long	j wi	th	Se	al										
1.																																							
2.																																							
3.																																							
4.																																							
G. IN	TRODU	CE	R	DE	TAII	LS																																	
Name o	of the In	tro	duc	er					F			R	S	Т								M		[D	L	Е			L		A	S	Т				
Status o	of the In	tro	duo	cer						T																													=
(Sub-bro	oker/Re	mi	sie	r/A	utho	riz	ed	Pe	rso	n/I	Exist	ting	, Cli	ent	t/Oth	ner	s, p	olea	se s	spe	cify)																		
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State																(Coı	untr	y																				
Tel	STDTELNO																																						
carried of the deal policies	/we here by confirm that I/we verified the identity & bonafides of the client. I/We undertake to ensure prompt settlement of the transaction carried out by the client and also extend full co-operation to Angel to facilitate their smooth dealings with this client. I/We shall also ensure that all he dealings with the client are as per the Rules/Regulations/Bye-laws of Exchanges/SEBI. Further I/We undertake to abide by the operational policies and procedures of Angel and also the terms of the agreement entered into by me/us with ABPL. Signature of the Introducer:																																						
		ı	ı	ı	i		ı	ı	i			ı	i	ı	i	0	DEC	CLA	RA	TIO	N	i	i	i		ı	i	i	i		i	ı	ı	i	ı	ı	i	i	
	e hereby rm you represe	of	any	/ cl	nanç	ges	s th	ere	ein,	im	nmed	diat	ely.	In	cas	e a	any	of	the						•				_										
2. I/We the t	e confirr ariff she		avi	ng	read	d/b	eer	n ex	xpla	ain	ed a	nd	unc	lers	stoo	d th	ne (cont	ent	s of	the	do	cum	nent	or	n po	olicy	an an	d pı	oceo	lure	s o	of th	ne s	toc	k br	oke	er an	ıd
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document', and Guidance note as specified on the KYC handout (Customer Copy) and the same have been provided to me/us. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website i.e www.angelbroking.com																																							
Place_								_																															
Date								_														(7/1	9) (200	A	Au	tho	rise	ed Si	gna	ato	ry	(At	est	wi	th S	Seal	_)

	DEMAT ACCOUNT RELATED ADDITION.	AL DETAILS									
DP Internal Reference No.											
DP ID	Client ID	(To be filled by the Depository Participant)									
(To be filled by the applicant in I / We request you to open a De	BLOCK LETTERS in English) epository Account in my / our name as per the following	g details:									
Holders Details											
Sole / First Holder's Name		PAN									
Second Holder's Name		PAN									
Third Holder's Name		PAN PAN									
Name *											
	n of persons (AOP), Partnership Firm, Unregistered Trus ne Firm, Association of Persons (AOP), Partnership Firm	•									
	TYPE OF ACCOUNT (Please tick whichever	is applicable)									
	Status	Sub - Status									
Body Corporate FII CM FI	Banks Trust Mutual Fund OCE Clearing House Others (Specify)	-									
SEBI Registration No. (If Applicable)	(If Applicable)										
RBI Registration No. (If Applicable) RBI Approval Date											
Nationality											
	CLEARING MEMBERS DETAILS (To be filled	l by CMs only)									
Name of Stock Exchange Name of the CC / CH Trading ID	Clearing Member ID										
	STANDING INSTRUCTIONS										
Account Statement requirement (will be charged extra)		Monthly As per SEBI Regulation									
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes') [Automatic Credit] Yes No											
Do you wish to receive divide (If not marked, the default op	end / interest directly into your bank account. Given belition would be 'Yes')	ow through ECS? Yes No									
(If not marked, the default option I/We would like to share the em. I/We would like to receive the Al	,										
Easi: To register for easi, please	e visit website www.cdslindia.com. Easi allows a BO to view h	is ISIN balances, transactions & value of portfolio online.									

depository account who have	elivery Instruction Slip (DIS) booklet alove given Power of Attorney to operate the don for setting stock exchange trades and do	epository account	to a Stock Broker	/Participant/Portfolio Manager for								
Options for Issue of DIS b	ooklet (please tick any one)											
Option 1: I/We do not my/our request at any later of	wish to receive the DIS booklet with accoudate.	ınt opening. Howe	ever, the DIS boo	klet should be issued to me/us on								
Option 2: I/We wish to	receive the Delivery Instruction Slip (DIS) b	ooklet with accour	nt opening.									
Accounts. I / We declare that	Rights & Obligations and agree to abide by the particulars given by me / us above are that any false / misleading information giation and suitable action.	e true to the best	of my / our know	ledge as on the date of making this								
	Name of Holders		Signa	ture(s) along with Seal								
Sole / First Holder			(8/19) 🛬									
Second Holder (1/4) ⊃												
Third Holder			(1/4) ⇔									
	FOR OFFICE	USE ONLY	_									
UCC Code allotted to the Cli BO ID Allotted to the client												
	Documents verified with Originals	Client Inter	viewed By	In-Person Verification done by								
Name of the Employee												
Employee Code												
Designation of the employ	yee											
Date												
Signature												
also made the client aware of documents. I/We undertake		DD and Guidance l ures', tariff sheet a	Note. I/We have oุ and all the non-m	given/sent him a copy of all the KYC andatory documents would be duly								
Signature of the Authorise	d Signatory											
Date:			Seal	//Stamp of the stock broker								
Place:												



SCHEDULE OF CHARGES FOR TRADING ACCOUNT - NSE/BSE/MCX-SX

BROKERAGE DETAILS

	Cash Se	gment	F&O F	uture	Currenc	y Future		F&O Opti	on	Currency		
	Min (Paise) A	Max (%) B	Min (Paise)	Max (%)	Min (Paise)	Max (%)	% or	n Premium	Min Per Lot (Rs.)	% on Premium		Remarks
Trading 1st Leg							Nifty					
Trading 2nd Leg												
Delivery Brokerage							Other Options					

Note:

- 1. Please note that the brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.
- 2. Brokerage is normally levied on % basis of transaction value as above. However, where the rate of scrip / futures contract is below specified rate where specified rate is calculated as A / B, then Minimum Brokerage in paise would be levied on Quantity instead of % rate i.e. If value based Brokerage is 0.25% with 5 paise as Minimum brokerage then specified rate would be (5 / 0.25) which is Rs. 20/-. Hence 5 paise would be levied as brokerage on per share basis where share price is less than Rs. 20/-.
- 3. In case of intra day transaction in Equity segment / Stock Futures / Index futures / Currency Futures / F&O Options / Currency options first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.
- 4. In case of option transactions (F&O and Currency) higher of percentage on premium value or Minimum per lot as indicated above would be levied subject to Maximum of Rs. 500/- per lot.
- 5. If option rates (F&O) not specified then default rates i.e. Higher of 0.50% on premium or Rs. 50/- per lot for Nifty and Higher of 0.50% on premium or Rs. 100/- per lot for other options would be levied.
- 6. If option rates (Currency) not specified then default rates i.e. Higher of 0.50% on premium OR Rs. 15/- per lot would be levied.
- 7. Other charges:
 - a. Securities Transaction tax, SEBI Turnover fees, Transaction charges shall be levied as per the prevailing rates*.
 - b. Statutory levies including but not limited to Service Tax, Stamp duty, Education Cess shall be levied as per the prevailing rates.
 - c. Angel reserves the right to levy additional charges including but not limited to the following:

Particulars	Amount
Duplicate Contract Notes (CN) issued	Rs.10/- per CN**
	Rs. 25/- for 1 month period**
Duplicate Sauda Summary issued	Rs. 50/- for 2 month period**
	Rs.100/- for 3 months and above**
Bounced Cheque / Stop Payment of cheque	Rs. 50/- per instance/instrument**
Charges for applying for certain corporate benefits on behalf of clients for shares lying in Angel (e.g. Right issue, buy back etc.)	Rs.11/- per ISIN
Angel Beneficiary to Angel Collateral A/c.	Rs.15/- per ISIN**
Angel Collateral to Angel Ben A/c for Pay-in	Rs.15/- per ISIN**
Angel Collateral A/c to Clients Ben A/c (towards Pay-out)	Rs.36/- per ISIN**
Angel Beneficiary to Exchange Pay-in A/c.	Rs.11/- per ISIN**

^{*}For prevailing rates please refer Back office interface. - Login into Back Office>Utility Services> Client Summary Page. Home Page

** Angel Broking But, I td., recovers the right to revise the tariff structure from time to time at its sole discretion, under intimation to

d. KRA charges as maybe applicable.

^{**} Angel Broking Pvt. Ltd. reserves the right to revise the tariff structure from time to time at its sole discretion, under intimation to clients either by way of ordinary post or by an email or by notification on the back office interface.

CHARGES FOR DEPOSITORY SERVICES

Sr No.	Services	Schemes (Ple	ase Select)				
		Lifetime AMC	Investor				
1.	One Time Upfront payment	1250/-	_				
2.	Annual Maintenance Charges	Free for Lifetime	300/-				
3.	Deliveries / Debit transaction a) Within Angel b) Outside Angel	Rs. 11/- per ISIN Rs. 21/- per ISIN	Rs. 11/- per ISIN Rs. 21/- per ISIN				
4.	Charges for Pledge creation / Pledge closure	Rs. 25/- per ISIN	Rs. 25/- per ISIN				
5.	Dematerialization	Rs. 10/- per certificate and Rs for Postage charges plus F					
6.	Rematerialization	Actual CDSL charges plus Rs. 30/- pe	r request towards postal charges				
7.	Additional DIS requisition	Rs. 25/- per booklet	Rs. 25/- per booklet				
8.	Additional Request for account statement or Holding with valuation, freeze & Unfreeze etc.	Rs. 25/- per Request	Rs. 25/- per Request				

Notes:

- 1. Stamp duty on the documents to be executed shall be payable of the rates applicable from time to time.
- 2. For availing 'Easiest' facility of CDSL, The charges as levied by CDSL would be collected from clients at actuals.
- 3. In case of every Corporate Accounts, CDSLAMC of Rs. 500/- shall be charged extra.
- 4. CDSL levies Rematerialization charges as higher of the following;
 - i) Afee of Rs 10/- for every 100 securities or part thereof:

OR

- ii) A flat fee of Rs 10/- per certificate.
- 5. Services tax, Education cess & other statutory levies (if any) would be charged extra wherever applicable as per the prevailing rates.
- 6. Any Services which is not mentioned above will be charged separately as per the rates applicable from time to time.
- 7. Angel Broking Pvt. Ltd. reserves the right to revise the tariff structure from time to time at its sole discretion, under intimation to clients either by way of ordinary post or by an email or by SMS or by notification on the back office interface.

	Name of Holders	Signature(s)
Sole/First Holder		(10/19)
Second Holder		(2/4)
Third Holder		<⇒ (2/4)

BENEFICIAL OWNERSHIP DETERMINATION FORM

SEBI vide circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and CIR/ISD/AML/3/2010 dated December 31, 2010 has mandated all registered intermediaries to obtain, as part of their Client Due Diligence policy, sufficient information from their clients in order to identify and verify the identity of persons who are beneficial owner. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

The following approach shall be considered while determining the Beneficial Ownership:

A. For clients other than individuals or trusts:

Where the client is a person other than an individual or trust, viz., company, partnership or unincorporated association/body of individuals, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the following information:

a. The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Explanation: Controlling ownership interest means ownership of/entitlement to:

- More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. More than 15% of the capital or profits of the juridical person, where

the juridical person is a partnership; or

- iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- b. In cases where there exists doubt under clause A (a) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means.

Explanation: Control through other means can be exercised through voting rights, agreement, arrangements or in any other manner.

c. Where no natural person is identified under clauses A (a) or A (b) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For client which is a trust:

Where the client is a trust, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies:

Where the client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

Accordingly the following information is required to be filled: (Use additional sheets if required).

1. CLIENT DETAILS																																
Client Name	Э					F	I	R	S	Т						M		D	D	L	Е						L	А	S	Т		
Client Acco	unt N	No.																														
Name of the	e ME)/CE	O 0	f C	omp	any																										
2. BENEFICIAL OWNER'S PERSONAL INFORMATION																																
Beneficial C)wne	er N	ame				F		R	S	Т						M	1	D	D	L	Е					L	А	S	Т		
Gender		Ma	le		Fe	mal	е		Ma	rital	statu	IS		Sin	gle			Ма	ırrie	d		Date	of b	irth	D	D	M	M	Υ	Υ	Υ	Υ
Nationality														F	PAN																	
% of shares	or	capi	tal o	r pr	ofits	of c	com	pan	y/pa	rtne	rship	firn	n/un	incoı	rpor	atec	as	socia	atior	n or	bod	y of	indi	vidu	al							
Address																																
City/Town														Pin	Cod	de																
State														Co	unt	ry																
Tel (Off)		S	Т	D		Т	Е	L		Ν	0			Tel	(Re	s)		S	Τ	D		Т	Е	L		Ν	0					
Mobile No.															Fa	ах		S	Т	D		F	А	Χ		Ν	0					
Email id																																

3. BENEFICIAL OWNER'S EMPLOYMENT INFORMATION	
Employer Name FIRST MIDDLE	LAST
Type of Business Position	
Years with Employer	
Address	
City/Town Pin Code	
State Country	
Tel (Off) STDTELNO Tel (Res) STD	TELNO
Mobile No. Fax STD	FAXNO
Authorised Signature with company Seal I/we certify that the information provided by me/us in this document is true and complete and any material change in the information.	Photograph of Beneficial Owner Only Sign across I/we hereby agree to advise you immediately of
Beneficial Owner/Authorised Person Signature	Date D D M M Y Y Y Y
Witness Name FIRST MIDDL	E L A S T
Witness Signature (2)	Date D D M M Y Y Y
Branch Manager Name FIRST MIDDL	E LAST
Branch Manager Signature	Date D D M M Y Y Y Y

Note: Beneficial Owner is required to submit copy of PAN card and valid address proof alongwith the captioned form.



	DECLARA	THON BY HUF AND C	ONSENT LETT	ER	
To, Angel Br	oking Pvt. Ltd.				
With rega	ard to Beneficiary account no. (BO ID)" wi	ith DP /Trading (Angel E	Broking Pvt. Ltd.	And Trading Accour	nt
hat the s	llowing family members, being the co-parcene aid Karta, viz would bunt is concerned.				
name of toperation	er declare and authorize you to recognize the bethe undersigned who is the Karta of the HUF s. I agree and understand that this is to factry account shall be complete discharge of obligation. Details of our HUF and	for the purpose of co ilitate the operation of pations by you in respec	mpleting the sh the above trad t of trades exect	are transfer obligations ling account. The transfouted in the above trading a	pursuant to the tradin er made by you to th
Sr.No	Name of Family Member	Date of Birth (DD MM YYYY)	Gender	Relationship with Karta	Signature

I, hereby state that details mentioned above are true and any change in them would be intimated to you in writing.

Title of HUF/Karta_____

ADD_DOCS

Signature of Karta

(HUF Rubber stamp)

	DECLARATION BY	PARTNERSHIP	FIRM (TO BE OBTAINED	ON FIRMS LETTE	R HEAD OR ATTES	TED WITH FIRM'S SEAL)
						Date:
G-1, Acki Road No	roking Pvt. Ltd. ruti Trade Centre, . 7, MIDC, Andheri (l - 400 093.	Ε),				
Dear Sir,						
the code beneficiant of compl	ary account Noeting the share tran	. We the undersing	gned partners of the abo with depository pursuant to the trading p	ve mentioned firm articipant in the na	hereby declare an _,which belongs to ame of partnership	a partnership firm and bearing d authorize you to recognize the one of our partner for the purpose firm as per regulations and that des executed in the above trading
		•	can jointly / severally issu cessary for the purpose o	-		securities trades, fund, etc. and to
Signatur	e (Please sign with s	stamp of the partne	ership firm)			
	ase write name of th	. ,				

Partners of the firm confirm that any securities due to the Firm's trading account with you, if transferred to the above mentioned Demat account, will constitute good delivery of the obligation. We further state that Angel will not be responsible, if the shares are transferred to the above-mentioned a/c.

If there is any change in the information given above, same shall be informed to Angel in writing. If any such information not communicate to Angel, that Angel will not be liable for losses suffered by the firm or any of the individual partners.

Signature (28)	Signature	Signature
1 Name	2 Name	3 Name

BOARD RESOLUTION (Trading / Demat) (On the Company letterhead)

CERTIFIED TRUE COPY OF AN EXTRACT FROM THE MINUTES OF A MEETING OF THE BOARD OF DIRECTORS OF LIMITED DULY CONVENED AND AT WHICH A PROPER QUORUM WAS PRESENT HELD AT THE REGISTERED OFFICE OF THE COMPANY AT__ (ADDRESS) ON (DATE) We hereby certify that the following resolution of the Board of directors of ____ ____LTD was passed at the Meeting of the Board held on and has been duly recorded in the Minutes Book of the said Company. "RESOLVED THAT having regard to the object/s of the Company and to the operations of the Company and its future plans, the Company do open a Trading Account for dealing in shares and securities in Cash Segment and / or Derivative Segment on the Bombay Stock Exchange Ltd. (BSE) and / or National Stock Exchange of India Ltd. (NSE) and / or MCX Stock Exchange Ltd. (MCX-SX) and / or Clearing member / beneficiary account for the Company, with ANGEL BROKING PVT. LTD. (Depository Participant of CDSL) in the name and style of" (name of the co.) LIMITED" and Mr. Director of the Company be and is hereby authorized to negotiate and finalize the terms and conditions for opening the account and completing the formalities. "FURTHER RESOLVED THAT Mr._____ and Mr. the Directors the Authorised Person in this behalf, be and are hereby jointly and severally authorized and Mr. to sign and execute necessary forms and KYC disclosures and agreements as might be required for the purpose of opening the Trading and / or Beneficiary account/s as aforesaid and THAT they are also authorized to as such to operate the said account for and on behalf of the Company" "RESOLVED THAT for the companydo grant in favor of Angel Broking Pvt. Ltd. a Power of Attorney limited to enabling Angel Broking Pvt. Ltd. meet payin obligations for sale transactions carried out in the account of the Company and authorize Mr. of the company to execute, notarize and deliver to Angel Broking Pvt. Ltd., the said Power of Attorney and to do all other things as may be neccessary in this connection. "FURTHER RESOLVED THAT a certified copy of the said resolution be communicated to ANGEL BROKING PVT. LTD. together with the specimen signatures of all the aforesaid officials by the Chairman of the meeting / company. CERTIFIED TRUE COPY Place: Date: For LTD. Signatory SPECIMEN SIGNATURES NAME **SIGNATURE** 2. Mr. 3. Mr. _____

POLICIES AND PROCEDURES OF ANGEL BROKING PVT. LTD.

This document contains the policies and procedures of Angel Broking Pvt. Ltd. (Angel) for Cash and F&O segment as are presently in force in relation to:.

- 1. Refusal of orders for Penny/illiquid Stock
- 2. Applicable Brokerage limit
- 3. Setting up of exposure limits
- 4. Delayed payment charges
- 5. Squaring off of market positions, collaterals and other securities,
- 6. Market and internal shortages,
- 7. Refusal of Client requests for fresh positions
- 8. Suspension of Client's account and deregistering of the Client.
- 1. Penny / illiquid securities: Angel shall from time to time classify and publish on its website a list of securities which are illiquid as per the list of illiquid securities notified on a periodic basis by the Stock Exchanges concerned and / or based on such internal criteria as Angel may deem fit. Angel reserves the right to refuse execution of any transaction requests of the Client on such illiquid securities or to reduce the open market interests of the Client in such securities. Angel also reserves the right not to allow any trades or transactions in respect of certain securities or segments or orders/requests which may be below / above certain value / quantity as may be decided by Angel from time to time.

2. Applicable Brokerage:

- 2.1. Angel shall levy brokerage for the Client's transactions as per the brokerage slabs mutually agreed with the Client in writing in the Client Registration Form subject to the maximum rate prescribed by the Stock Exchanges / SEBI. The Client shall pay such fees, charges, and commissions as may be notified by Angel from time to time depending upon the services availed by the Client.
- 2.2. Subject to such maximum prescribed rates, Angel may revise the rates from time to time after advance intimation to the Client of the revised rates and the date on and from which the revised rates shall take effect. However in case of any upward revision in brokerage, angel shall give 15 days advanced intimation to the client. Angel may intimate the revised rates in writing addressed to the Client and by publishing the rate on its back-office website. The Client shall intimate its objection(s) if any to the revised brokerage in writing within 15 (fifteen) days of receipt of intimation of the change in brokerage. The Client shall be deemed to have agreed to the change in brokerage rates if he does not intimate any objection thereto within the time prescribed.
- 2.3. Brokerage shall be paid in the manner intimated by Angel to the Client from time to time together with the service tax and statutory levies & duties as may be applicable from time to time on the same.
- 2.4. Without prejudice to the absolute obligation of the Client to pay/reimburse monies to Angel as set-out above, Angel shall also be entitled to set-off and appropriate any monies that may be placed with or available with Angel for and/or on behalf of the Client towards any dues of the Client to Angel, arising howsoever.

3. Setting up of Exposure limits:

3.1. Angel shall be entitled to sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of funds / securities / bank guarantees / fixed deposit receipts. Angel at its sole discretion may refuse to accept any security as collateral/margin . Angel shall from time to time publish a list of

- securities which would be acceptable as collateral/margin. In setting exposure limits for the client, Angel shall be entitled to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Angel and such other factors or conditions which Angel may consider relevant for the purpose. Angel reserves liberty to vary the trading/exposure limits of the client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the client.
- 3.2. Neither Angel, nor any affiliate of Angel nor their respective directors, officers, employees, agents shall in any circumstances be liable for any direct or indirect loss, cost, liability, expense or damage (including without limitation all legal fees and expenses) arising from any variation or reduction of exposure or turnover limits by Angel.

4. Delayed payment and consequences:

- 4.1. Notwithstanding anything contained in these presents and without prejudice to margin funding guidelines issued by SEBI, any amounts which are overdue from the Client in any trading segments shall be liable to delayed payment charges at the rate of 1.5% per month or part thereof or such other rate as may be determined and communicated by Angel. Angel is authorised to debit the delayed payment charges to the account of the Client at the end of each month/such other interval as may be decided by Angel.
- 4.2. Without prejudice to Angel's other rights and to the extent permissible under Applicable Laws, Angel shall be entitled to liquidate / close out all or any of the Client's positions for nonpayment of margin or other amounts, outstanding debts, etc, and adjust the proceeds of such liquidation/close out, if any, against the Client's liabilities / obligations. Any and all losses and financial charges on account of such liquidation/ closing-out shall be charged to and borne by the Client.
- 4.3. Angel shall be entitled to suspend or terminate the member client relationship without prior notice if the Client fails to fulfil his/its payment obligations hereunder, under the Rights and Obligations / Terms and Conditions mentioned in this document or otherwise due to Angel.
- 4.4. Angel shall not be obliged to return any money, margin or otherwise to the Client until the Client has satisfactorily discharged all its payment obligations or other obligations as specified in Client Registration Document.
- 5. Angel's right to square off: Without prejudice to Angel's other rights (including the right to refer a matter to arbitration), in the event of the Client failing to maintain/supply applicable margin money required to sustain the outstanding market positions of the Client, Angel shall be entitled, at its option and liberty, to liquidate/close out all outstanding market positions or any part thereof such that the outstanding market positions are either zeroed out or reduced to an extent where available margin covers the market positions remaining after such square off. The Client understands and accepts that authority of Angel to square off outstanding market interests of the Client in the event of the Client failing to furnish margin money immediately on demand is carte blanche qua the entire outstanding position and the Client shall not, as a matter of right, be entitled to reduction of the outstanding positions in stages in order that positions to the extent of available margin are retained in the Client's account. Angel may also sell off all or any securities of the Client lying with Angel as collateral or otherwise, for any amounts due by the Client and adjust the

proceeds of such liquidation/close out against the client's liabilities/obligations to Angel. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client. Client shall keep and hold Angel indemnified and harmless from any loss arising out of such closing out/squaring off. Such liquidation or close out of positions shall apply to any segment in which the Client does business with Angel.

- 6. Market and internal shortages: The Client hereby agrees that if he/she/it has short-delivered any securities against his/her/its pay-in obligation towards a counter party who is a Client of Angel and delivery of the securities was also not effected through auction in the market (self-auction) for any reason including that self-auction is not permitted on the Exchange, then the contract shall be closed out and the close out price will be higher of:
- (a) The highest price for the securities prevailing in NSE or as the case may be BSE on any date commencing from the date of transaction till the day of auction relevant to the trade (auction day) or
- (b) The closing price for the securities on the auction day as increased by 3% of the closing price for F & O traded scrip or 7% for other scrip or such other % as may be revised by Angel from time to time. The amount so determined shall be debited to the account of the Client who defaulted to deliver.

7. Refusal of Client requests for trades/transactions:

- 7.1. Angel is entitled in its sole discretion to: Restrict or refuse execution of any orders for transaction in any scrip if transaction in such scrip is not in accordance with its internal due diligence policy and/or the directives and guidelines of the Exchanges and/or the Regulator issued from time to time and the client shall not call in question any decision of Angel to restrict or refuse transactions in such scrip on the ground that transactions in such scrip are not in violation of Angel's due diligence policy or the directives or guidelines of the Exchange and/or the Regulator or on the ground that the Client has not been put on notice about the scrip on which trade restrictions have been imposed by Angel.Impose trade restrictions on any scrip having regard in particular to any one or more of the following factors viz. (i) Market volatility, or (ii) Price sensitive announcements relating to any scrip,or (iii) Restrictions on trade volume imposed by the Exchange concerned or (iv) Political instability in the country or (v) External aggression or internal rebellion or (vi) Default by the Client to maintain applicable collateral/margin or to make payment of dues or such other factors influencing the securities market.
- 7.2.Refuse to accept or act upon any request/order which in Angel's sole opinion, amounts to manipulating trades or price manipulation or artificial trade(s) and/or fraudulent trade(s) or otherwise in breach of applicable laws and/or Angel's internal policies, without obligation to give the Client its reasons for doing so;
- 7.3.Close out any transaction which may have been executed but which Angel was entitled to refuse to execute being contrary to its internal due diligence policies or by reason of any other factors including but not limited to trades being manipulative in nature;
- 7.4. Disallow any trades or transactions in respect of certain securities or segments which may be below/above certain value/quantity as may be decided by Angel from time to time;
- 7.5. Angel may at its sole discretion decline to carry out the instructions for any reason whatsoever

8. Suspension and deregistering of Client's Account:

- 8.1. If the Client apprehends that security of his account has been breached, the Client shall by writing to Angel, request suspension of transactions in the Client's account and Angel may on receipt of such request suspend transactions in the account. The Client shall ensure pay in of funds and securities in respect of all transactions pending to be settled on or before the respective settlement date(s) and shall compulsorily square off all open derivative positions, failing which Angel without further reference to the Client shall square off all open derivative positions prior to suspending the account. The account of the Client shall, if suspended, remain so suspended until such time as the Client's request in writing for reactivation of the account is not received by Angel. Provided always that Angel may, without prejudice to its other rights to effect recovery of its dues, sell all or any collateral and other securities of the Client lying with it in the Client's account towards full or part recovery of the dues owing by the Client without prior notice or consent of the Client.
- 8.2. Angel may at any time, as it considers necessary in its sole discretion and without prior notice to the Client, prohibit, restrict or suspend the Client's access to or use of the Services provided to the Client under this document, whether in part or entirely.

8.3. Angel reserves the right to suspend and deregister the client without prior notice in the event of:

- a. Any breach of the terms of this document.
- b. In the event of infraction of any Rules, Bye-Laws, Regulations of SEBI or the Stock Exchange or of the provisions of any law for the time being in force governing dealings in the securities market without prior notice or on the directions of SEBI and/or the Exchanges.
- c. Upon the death, winding up, bankruptcy, liquidation or lack of legal capacity of the Client.
- d. The Client being designated as a defaulter by any credit rating agency or any action or proceedings have been initiated by the relevant regulator/Authority including without limitation SEBI.
- e. Bank account, demat account, securities account of the client being frozen or attached by any court of law or any other competent authority for whatever reason.
- f. The Client having misrepresented facts at the time of registration or at the time of giving instructions or otherwise.
- g. Any proceedings or investigations that involve the Client or his/its properties having been initiated (or is/are ongoing).
- h. The Client fails to fulfil his/its payment obligations under this document or otherwise due to Angel or
- If the Client migrates to a jurisdiction which prohibits trading in Indian Securities or otherwise subjects Angel or any of its employees to any licensing or registration requirements.
- 9. The prevailing policies and Procedures of Angel in respect of the above said matters shall remain published on its website as may be notified to the Client from time to time and the Client agrees that it is Client's responsibility to access, understand and abide by such policies at all times during the subsistence of this agreement.

I agree and understand that Angel may from time to time at its sole discretion amend or modify the policies and procedures under intimation to me / us.

I/we have read & understood the above mentioned policies and procedures.

ACKNOWLEDGEMENT

I/we hereby confirm and acknowledge the receipt of the following documents:

1. Rights and Obligations

Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless technology based trading).

2. Risk Disclosure Document (RDD)

Document detailing risks associated with dealing in the securities market.

3. Guidance Note

Document detailing do's and don'ts for trading on exchange, for the education of the investors.

4. Rights and Obligations of Beneficial Owners and Depository Participants:

Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.

(13/19) Authorised Signature with company Seal

VOLUNTARY DOCUMENTS

VOLUNTARY TERMS AND CONDITIONS

Whereas the client intends to open securities trading accounts with Angel Broking Pvt. Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segement, Futures & Options and Currency Derivative Segments of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., and the MCX Stock Exchange Ltd. and Mutual Fund transactions Facilities offered by SEBI recognized Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

- 1. Authorization to debit additional charges with regard to Trading and Demat Account: Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Angel Broking.
- 2. Payment by cheque: Where payment by the client towards margin money is made through cheque / pay order / demand draft issued in favor of Ange, trades may be executed at the discretion of Angel only upon realization of the funds of the said cheque/pay order/demand draft.l
- 3. Lien: All securities, funds and/or properties of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, funds and/or property of the Client as security towards any such undischarged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, funds or properties at its sole discretion and at any point of time.
- 4. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment to its ledger account in any other segment or to transfer any stock purchased/lying in its account in any segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.
- 5. Disclaimer: The Client understands and agrees that neither Angel nor any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for:
- (a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or
- (b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Non-performance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any

- disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.
- 6. Manner and Mode of placing orders/instructions: The Client may communicate orders and other instructions to Angel or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. Client may use any one or more of these means as may be permitted by the SEBI / Exchanges from time to time for placing orders.
- 7. Non-execution/delay/cancellation of Orders: The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading system generally in any manner due to one or the other reasons beyond the control of Angel or the Exchange.
- 8. Client not to act on representations of agents, employees:
 Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.
- 9. Recording of Conversation: The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.
- 10. Confidentiality of Client Details: Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent third parties or any entities of Angel Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.
- 11. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.
- 12. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.
- 13. No Waiver: No forbearance, relaxation or inaction by any party to

require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

- 14. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:
- 14.1 (a) By ordinary post or (b) By registered post (c) Under certificate of posting or (d) By express delivery post or (e)by SMS on registered mobile or by telephonic call or (f) By affixing it on the door at the last known business or residential address or (g) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (h) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (i) By publishing it in the website of Angel wherein secured log-id and password to Client is provided or (j) By a notice posted on the notice board of the Exchange if no address be known or (k) By electronic mail or fax or (l) By hand delivery or By Courier or any other mode as may be allowed for communication.
- 14.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.

15. Electronic Contract Note (ECN):

- 15.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.
- 15.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within 7 working days of the receipt of the same. Angel shall also publish the Contract Note on the Web site www.angelbroking.com or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.
- 16. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Angel may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices/communications in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Angel shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents

- should be brought to the notice of Angel within seven working days from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.
- 17. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

18. Internet / Wireless Technology based Trading facility:

- 18.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply / purchase / redeem / sale / buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet / mobile / laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefor.
- 18.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.
- 18.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.
- 18.4. Orders of Client subject to review by Angel: The Client agrees that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."
- 19. Extra Ordinary Events and termination/suspension of trading facility: Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure,

equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's controlresulting in non-execution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

20. Amendments to the terms and conditions: Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client.

I/We hereby confirm to have read and understood the terms and conditions as mentioned above and agree to abide by the same.

For Angel Broking Pvt. Ltd.		
Authorised Signatory:	(14/19)	Authorised Signature with company Seal
RUNNING ACCOUNT AUTH	HORISATION (VOLUNT	TARY)
To, Angel Broking Pvt. Ltd. G-1, Ackruti Trade Centre, Road No. 7, MIDC, Andheri (E), Mumbai - 400 093.		Date:
Dear Sir,		
Ref.: Authorization to maintain running accounts for transactions	and retention of securi	ties and funds for my client code
I request you to settle my account on a monthly/ quarterly basis. (Strike	off whichever is not appli	cable).
I hereby authorize you to maintain running account(s) for my to exchanges/segment for both securities and funds with you. I also re account with you for the purpose of margins/ all trade related liabilities to be permitted by Stock Exchanges / SEBI from time to time while settlin retained by Angel towards collateral margin and for allowing enhanced for loss or loss of profit, or for any consequential, incidental, special securities/funds.	quest you to consider the due to you. I further author g my account. I also author gross exposure to me. I a	he balances in my/our running fund/securities orized you to retain securities and funds as may horize Angel to consider the funds/securities so agree that Angel shall not be liable for any claim
Additionally the funds and securities due to me may be released in the will be payable to me on the amount of securities and funds as retained prior written intimation to Angel Broking.		
Yours faithfully,	Client Name	e:
	(15/19) 🐚	Authorised Signature with company Seal

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	POWER OF ATTORN	
	(To be executed on stamp paper of Rs. 50/-) TO ALL TO WHOM THESE PRESENTS SHALL COME We M/s_	, a sole proprietary and having place of business and or residing a
	concern with Proprietor Mr./Ms	and having place of business and or residing a
	(hereinafter referred to as "the client"), send greetings; (or) M/s	, a HUF with as its Karta and having its office a
	M/s	(hereinafter referred to as "the client") send greetings; (or , a partnership firm with
(3/4) ⇔	Mr./Mrs./Ms; Mr Mr./Mrs./Ms; office at	, a partnership firm with c./Mrs./Msand as its partners (names of all partners to be given) having its
	(hereinafter referred to as "the client") send greetings; (or) M/s	Companies Act, 1956 and having its registered office a
0	corporate office at(hereinafter referred to as "the client") send greetings	
	Whereas I/we hold a Beneficiary account no Broking Pvt. Ltd. (a Depository Participant registered with CDSL)	_(BO-ID) with Central Depository Services (India) Limited, with Ange bearing DP-ID
	And Whereas I/we are registered as a client with Angel Broking P Exchange Ltd. and National Stock Exchange of India Ltd) for deal	vt. Ltd. (trading member of Bombay Stock Exchange Ltd, MCX Stocking in the securities market.
<u></u>	NOW KNOW WE ALL AND THESE DESENTS WITNESS.	TH THAT IAMA THE ABOVE NAMED DO HEDERY NOMINATE

(3/4)

16/19)

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivering / pledging the same to the clearing house of the recognized stock exchange in any segment to discharge my/our settlement obligations in respect of securities sold by me/us or for the purpose of providing margins in respect of the trading positions taken up by me/us.

CONSTITUTE/AND APPOINT M/s Angel Broking Pvt. Ltd., as my/our true and lawful attorney (hereinafter referred to as the attorney)

for me/us and on my/our behalf and in my/our name to do the following:

- 2. To apply for and subscribe to, on my/our instructions, Initial Public Offerings made by any company registered under the provisions of the Companies Act, 1956 through online/offline bidding platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
- 3. To apply, on my/our instructions, for Mutual Funds of various asset management companies through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
- 4. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs and Mutual Funds by way of short messaging services or e-mails on a daily basis.
- 5. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
- 6. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise of any power herein.
- 7. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power of Attorney shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof, signed by me/us; or, in the event of termination thereof by my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above provided.. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transaction, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Stock Broker. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.
- 8. As per SEBI Circular Dated 23rd August 2010, the list of demat A/C's where securities can move is listed as per schedule 1

(17/19)		(4/4)		<⇒ (4/4)	
(11/10)	Sole / First Holder		Second Holder		Third Holder
Signature	of Co-parceners:				
Name:				Signa	iture:
Name:				Signa	iture:
Name:				Signa	iture:
Name:				Signa	iture:
Signa	ature of Witness:		I/We accept (For A	ngel Broking P	vt. Ltd.)
Name:			─────	ignatory:	
Address:_			Place:		
			 Date:		

Schedule 1 List of Demat Accounts

Depository Participant Name	Demat Account No.	Exchange	Name / Type
Angel Broking Pvt. Ltd.	1203320000000028	BSE	ABPL/Client Benificiary A/c
HDFC Bank	10003588	BSE	ABPL/Pool A/c
Angel Broking Pvt. Ltd.	1203320000006564	BSE	ABPL/Pool A/c
Angel Broking Pvt. Ltd.	1203320000000066	BSE	ABPL/Client Benificiary A/c
HDFC Bank	14216209	BSE	ABPL/Client Benificiary A/c
IL & FS	10184021	NSE	ABPL/Pool A/c
Angel Broking Pvt. Ltd.	1203320006951435	NSE	ABPL/Pool A/c
Angel Broking Pvt. Ltd.	1203320000000051	NSE	ABPL/Client Benificiary A/c
HDFC Bank	32108952	NSE	ABPL/Client Benificiary A/c
Angel Broking Pvt. Ltd.	1203320000026363	NSE Currency	ABPL/Client Benificiary A/c
Angel Broking Pvt. Ltd.	1203320004025849	MCX-SX	ABPL/Client Benificiary A/c
Angel Broking Pvt. Ltd.	1203320004574264	NSE FO	ABPL/Client Benificiary A/c
HDFC Bank	16921197	NSE FO	ABPL/Client Benificiary A/c
Angel Broking Pvt. Ltd.	1203320007561350	BSE FO	ABPL/Client Benificiary A/c

To, Angel Broking Private Limited G-1, Ackruti Trade Center, MIDC, Road No.7, Andheri (E), Mumbai - 400 093. Subject: Mobile Number and Email ID Updation Dear Sir / Madam, With reference to Exchange circulars to update mobile number / email ID before trading in Stock Market, I/we hereby declare that: | I/We do not have Mobile number | I/We do not have Email Id | I/We have Mobile Number / Email ID however does not wish to update and avail the facility. Client Code | Client Name: | Authorised Signature with company Seal

MUTUAL FUND SERVICE SYSTEM FACILITY / BSE STAR MF (VOLUNTARY)

To,
Angel Broking Pvt. Ltd.

G-1, Ackruti Trade Centre,

MIDC, Road No. 7, Marol,

Andheri (E), Mumbai - 400 093.

Sub: Client/Investor consent on terms and conditions for dealing in Mutual Fund Service System (MFSS) facility / BSE StAR MF or mutual fund facility offered by SEBI recognized Stock Exchange from time to time (hereinafter jointly referred to "Mutual Fund Transaction Facilities")

Dear Sir.

I/We am/are registered as your client and have executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange").

I/We am/are interested in availing the trading facility of the Exchanges for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges.

For the purpose of availing Mutual Fund Transaction Facilities, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of Mutual fund transaction facilities and I/We further confirm that the details contained in the same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard.

I/We shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy). I/we therefore request you to register me/us as your client for participating in Mutual Fund Transaction Facilities.

I have read and understood the above and I agree to abide by the same.

(19/19) Authorised Signature with company Seal

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Angel's Online Value Added Services



ECN

- Electronic Contract Notes Obtain your bills / Contracts on your registered Email ID
- Easy Access Contract Notes available at the click of a button
- Time Saver
- Authenticity & Security of your trades done

Pay-Out Facility

- On-line Payouts instant receipt in your account
- 24 hours processing time
- No Cheque Deposits
- Quick Clearance
- Authenticity & Security of Funds





DP E-Statements

- Obtain your Holding / DP Statements on your registered Email ID
- Quick Delivery
- Authenticity & Security

On-Line Funds Pay-In

- Hassle free On-Line Payment
- Facilitates Ease in Transfer of Funds
- 41 Banks for On-Line Funds Pay-In
- Time Saver





