

Name :		Account Code :	Branch Code :	Form No.: E
Family Code :	AP / Employee :	BO ID : 12066900		JIFFY ID :
		BO ID : IN301895		
RM Email ID :				
Dealer 1 Employee Code :		Dealer 2 Employee Code :		

## CLIENT REGISTRATION FORM

INDIVIDUAL / NON - INDIVIDUAL



### Choice Equity Broking Pvt. Ltd.

Regd. & Corp. Office : Choice House, Shree Shakambhari Corporate Park,  
J. B. Nagar, Andheri (East), Mumbai - 400 099.

Tel.: (022) 6707 9999 I Fax ( 022) 6707 9898

Email : [customercare@choiceindia.com](mailto:customercare@choiceindia.com) I Contact No.: +91 88 24 24 24 24

CIN Number : U65999MH2010PTC198714

## REGISTRATION DETAILS

<b>CASH SEGMENT / FNO SEGMENT/ CURRENCY DERIVATIVES SEGMENT</b>	<b>NSE : SEBI Regn. No : INZ 000160131 - Broker Code :13773</b>	<b>Date of Registration:</b>
	<b>BSE : SEBI Regn. No : INZ 000160131 - Broker Code :3299</b>	<b>24 11 2017</b>
<b>COMMODITY DERIVATIVES SEGMENT</b>	<b>MCX : SEBI Regn. No : INZ 000160131 Member Code : 40585</b>	<b>17 02 2017</b>
	<b>NCDEX : SEBI Regn. No : INZ 000160131 Member Code : 01006</b>	<b>16 03 2017</b>
	<b>ICEX : SEBI Regn. No : INZ 000160131 Member Code : 1274</b>	<b>11 10 2017</b>
<b>DEPOSITORY PARTICIPANT</b>	<b>SEBI Regn. No : IN-DP-84-2015 CDSL-DP-ID : 12066900</b>	<b>Date of Registration : 15-06-2015</b>
	<b>SEBI Regn. No : IN-DP-84-2015 NSDL-DP-ID : IN301895</b>	<b>Date of Registration : 15-06-2015</b>
<b>CLEARING MEMBER</b>		
Orbis Financial Corporation Limited (INZ000165534)	NSE FNO, NSE CD and MCX Commodity	
Orbis Financial Corporation Limited (INZ000165534)	BSE Currency Derivatives	
Orbis Financial Corporation Limited (INZ000165534)	NCDEX Commodity	
Globe Commodities Limited (INZ000024939)	ICEX Commodity	

**CIN Number: U65999MH2010PTC198714**

For any grievance/dispute please contact Choice Equity Broking Pvt. Ltd at the given registered address or following officer

Designation	Name	Telephone	EMAIL ID
Director	Ramratan Chirania	6707 9800	ramratan@choiceindia.com
Compliance Officer	Pravin Khanvilkar	6707 9896	pravin.khanvilkar@choiceindia.com
Grievances/Dispute/Suggestion	Pravin Khanvilkar	6707 9999	ig@choiceindia.com

**In case not satisfied with the response, please contact the exchange**

<b>NSE</b> at <a href="mailto:ignse@nse.co.in">ignse@nse.co.in</a> and Phone no. 022-26598190 Fax No.:022-26598191.	<b>MCX</b> at <a href="mailto:grievance@mcxindia.com">grievance@mcxindia.com</a> Tel: 022-6731 8888
<b>BSE</b> at: <a href="mailto:is@bseindia.com">is@bseindia.com</a> ,Phone No.:022-22728097, Fax No.:022-22723677	<b>NCDEX</b> at <a href="mailto:askus@ncdex.com">askus@ncdex.com</a> Tel : 022-6640 6084,
<b>CDSL</b> : <a href="mailto:complaints@cdslindia.com">complaints@cdslindia.com</a> , phone no.1800225533	<b>ICEX</b> at <a href="mailto:grievance@icexindia.com">grievance@icexindia.com</a> Tel: 18001233899
<b>NSDL</b> at <a href="mailto:relations@nsdl.co.in">relations@nsdl.co.in</a> Tel: 1800222990	

You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any Queries or Feedback or Assistance please contact SEBI on toll free helpline at 1800227575/18002667575

## INSTRUCTIONS / CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self - declaration with relevant supporting documents.

\*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
  - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/ authorised persons office.
  - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. For non-individuals:
  - a. Form need to be initialized by all the authorized signatories.
  - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

### Instructions to the Applicants (BOs) for account opening:

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

# INDEX

## MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES PART I

Sr. No.	Name of the Document	Brief Significance of the Document	Pages From-To
1.	<b>KYC ( Account opening Form )</b>	C KYC & INDIVIDUAL KYC	3-4
		INSTRUCTION / GUIDELINES for filling Individual KYC application form	5-6
		NON – INDIVIDUAL KYC	7-8
		NON – INDIVIDUAL KYC - ANNEXURE & NON -INDIVIDUAL FATCA	9-10
		KYC Form – Document captures the basic information about the Constituent.	11-15
2.	<b>Rights &amp; Obligations</b>	Documents stating the Rights & Obligation of stock broker/trading member/ authorised person and client for the trading on exchanges including additional rights & obligation in case of Internet/Wireless technology based trading.	Provided as a separate booklet to be retained by client
3.	<b>Risk Disclosure Document (RDD )</b>	Document detailing risks associated with dealing in securities / commodities market .	
4.	<b>Guidance Note</b>	Document detailing DO's and DON'T's for trading on exchange, for the education of the investors.	
5.	<b>Rights &amp; Obligations ( DP )</b>	Documents stating the Rights & Obligation of Beneficial Owner and Depository Participant	
6.	<b>Policies and Procedures</b>	Document describing significant policies and procedures of the stock broker.	
7.	<b>Rights &amp; Obligations of NSE (MTF)</b>	Documents stating Rights & Obligations of Stock Brokers / Trading Member of NSE for MTF	
8.	<b>Rights &amp; Obligations of BSE (MTF)</b>	Documents stating Rights & Obligations of Stock Brokers / Trading Member of BSE for MTF	
9.	<b>Terms &amp; Conditions (MTF)</b>	Terms & Conditions prescribed by CEBPL for Margin Trading Facility (MTF)	
10.	<b>Tariff Sheet</b>	Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock exchange (s).	

## VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER PART II

11.	<b>Running Account Authorization</b>	Authorization to maintain your trading account on running account basis.	16
12.	<b>SMS Alerts on &amp; EMAIL Alerts Mobile No and Email ID</b>	APPENDIX B for Undertaking for services by way of SMS alerts and EMAIL alerts from trading member.	16
13.	<b>Mandate for Electric Mode of Delivery of Contract Note ( ECN ) &amp; Information</b>	Authorization by you in our favour to issue digitally signed Electronic Contract Note in lieu of Physical Contract Note	17
14.	<b>Declaration &amp; Confirmation from client</b>	Declaration from the client stating that client is liable to pay the margins which are required by the exchanges & other obligations.	18-19
15.	<b>NOC letter to be obtained from Broker / Exchange employees</b>	Declaration of employment / association with market.	20
16.	<b>Indemnity cum undertaking</b>	Indemnity cum undertaking for name discrepancy in pan card, bank proof & address proof.	20
17.	<b>Verbal Order Acceptance</b>	Authorization by the client for Verbal order acceptance to the trading member	20
18.	<b>Power of Attorney</b>	Revocable POA by the client in favour of Stock Broker.	21-22
19.	<b>HUF Declaration</b>	Authorization by Co-parceners in favour Karta	23
20.	<b>Self Declaration</b>	Declaration for Commodity wise Categorization	24
21.	<b>Demat Account opening Form</b>	Additional form for opening demat account of Individual with Nominee / Non-Individual form along with demat charges	25-29
22.	<b>DP Charges</b>	Schedule of Charges for CDSL / NSDL -BO Account	30
23.	<b>DIS</b>	Declaration for Opting of DIS book - Voluntary	31
24.	<b>Acknowledgment</b>	This confirms that client has received all the documents as per Regulators.	31
25.	<b>Declaration</b>	Declaration for Common Mobile Number and EMAIL ID in a Family Account.	32

**Choice does not accept subscription fee or any other fee-payments in cash.**

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**General Instructions:**

1. Self-Certification of documents is mandatory.
2. KYC number of applicant is mandatory for update/change of KYC details.
3. For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [I].
5. If any proof of identity or address is in a foreign language, then translation into English is required.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
8. Sole proprietor must make the application in his individual name & capacity.
9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
10. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.

**A. Clarification / Guidelines on filling 'Identity Details' section**

1. Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

**B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India**

1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)

**C. Clarification / Guidelines on filling 'Proof of Identity [PoI]' section, if PAN Card copy is not enclosed/For PAN exempt Investors**

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. Mention identification / reference number if 'Z – Others (any document notified by the central government)' is ticked.
3. Others – Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.
4. Letter issued by a gazetted officer, with a duly attested photograph of the person.

**D. Clarification / Guidelines on filling 'Proof of Address [PoA] section**

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. Others includes – Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

**E. Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section**

1. To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
2. In case of multiple correspondence / local addresses, Please fill 'Annexure A1'
3. Others includes – Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

**F. Clarification / Guidelines on filling 'Contact details' section**

1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add '0' in the beginning of Mobile number.

**G. Clarification / Guidelines on filling 'Related Person details' section**

1. Provide KYC number of related person if available.

**H. Clarification / Guidelines on filling 'Related Person details – Proof of Identity [PoI] of Related Person' section**

1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

**I. List of people authorized to attest the documents after verification with the originals:**

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.
6. Government authorised officials who are empowered to issue Apostille Certificates.

**J. List of people authorized to perform In Person Verification (IPV):**

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (for investors investing directly).
5. In case of NRI applicants, a person permitted to attest documents, may also conduct the In Person Verification and confirm this in the KYC Form.

**K. PAN Exempt Investor Category**

1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
3. Investors residing in the state of Sikkim.
4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.

**List of Two-Digit state / U.T Codes as per Indian Motor Vehicle Act, 1988**

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

**List of ISO 3166 Two-Digit Country Code**

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire / Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion / Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao / Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy / Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		





## INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

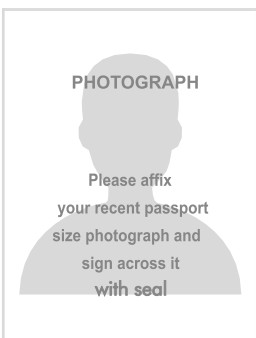
1. Self attested copy of PAN card is mandatory for all clients, including Promoters / Partners / Karta / Trustees and whole time directors and persons authorised to deal in securities on behalf of company/firm/others.
  2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorised for attesting the documents, as per the below mentioned list.
  3. If any proof of identity or address is in a foreign language, then translation into English is required.
  4. Name & address of the applicant mentioned on the KYC form. should match with the documentary proof submitted.
  5. If correspondence & permanent address are different, then proofs for both have to be submitted.
  6. **Sole proprietor must make the application in his individual name & capacity.**
  7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
  8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
  9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
  10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
  11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.
- B. Proof of Identity (POI): -List of documents admissible as Proof of Identity:**
1. Unique Identification Number (UID) (Aadhaar) / Passport/ Voter ID card/ Driving license.
  2. PAN card with photograph.
  3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.
- C. Proof of Address (POA): - List of documents admissible as Proof of Address:**  
(\*Documents having an expiry date should be valid on the date of submission.)
1. Passport / Voters Identity Card/Ration Card/Unique Identification Number (UID)/Aadhar

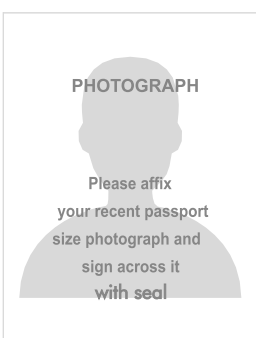
- Letter/Registered lease or Sale Agreement of Residence/Driving License/ Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill -Not more than 2 months old.
  3. Bank Account Statement/Passbook-Not more than 2 months old.
  4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
  5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
  6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
  7. For Fil/sub account, Power of Attorney given by Fil/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
  8. The proof of address in the name of the spouse may be accepted.
- D. Exemptions/clarifications to PAN**  
(Sufficient documentary evidence in support of such claims to be collected.)
1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
  2. Investors residing in the state of Sikkim.
  3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
  4. SIP of Mutual Funds upto Rs 50,000/- p.a.
  5. In case of institutional clients, namely, Flis, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.
- E. List of people authorised to attest the documents:**
1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
  2. In case of NRIs, authorised officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

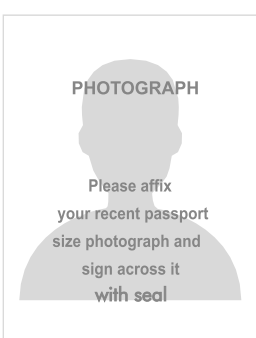
Types of entity	Documentary Requirements
Corporate	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). <input type="checkbox"/> Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. <input type="checkbox"/> Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. <input type="checkbox"/> Copies of the Memorandum and Articles of Association and certificate of incorporation. <input type="checkbox"/> Copy of the Board Resolution for investment in securities market. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Partnership firm	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered partnership firms only). <input type="checkbox"/> Copy of partnership deed. <input type="checkbox"/> Authorised signatories list with specimen signatures. <input type="checkbox"/> Photograph, POI, POA, PAN of Partners.
Trust	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered trust only). <input type="checkbox"/> Copy of Trust deed. <input type="checkbox"/> List of trustees certified by managing trustees/CA. <input type="checkbox"/> Photograph, POI, POA, PAN of Trustees.
HUF	<input type="checkbox"/> PAN of HUF. <input type="checkbox"/> Deed of declaration of HUF/List of coparceners. <input type="checkbox"/> Bank pass-book/bank statement in the name of HUF. <input type="checkbox"/> Photograph, POI, POA, PAN of Karla.
Unincorporated association or a body of individuals	<input type="checkbox"/> Proof of Existence/Constitution document. <input type="checkbox"/> Resolution of the managing body & Power of Attorney granted to transact business on its behalf. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Banks/ Institutional Investors	<input type="checkbox"/> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<input type="checkbox"/> Copy of SEBI registration certificate. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Army/ Government Bodies	<input type="checkbox"/> Self-certification on letterhead. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Registered Society	<input type="checkbox"/> Copy of Registration Certificate under Societies Registration Act. <input type="checkbox"/> List of Managing Committee members. <input type="checkbox"/> Committee resolution for persons authorised to act as authorised signatories with specimen signatures.

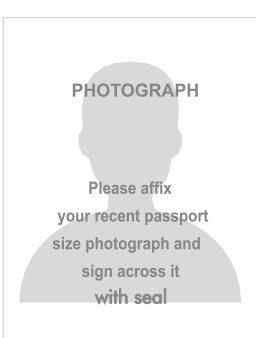
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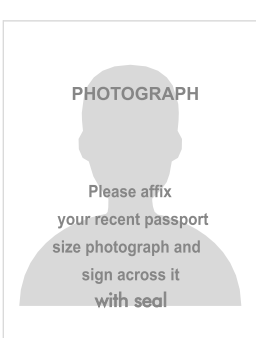
For Abbreviation of PEP / RPEP / NPEP / NRPEP please refer to page no. 12

1. Name															 <p>PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it with seal</p>				
2. Please tick, if applicable : <input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NPEP <input type="checkbox"/> NRPEP																			
3. Relationship with Applicant (i.e. promoters, whole time directors etc.)																			
4a. PAN					4b. DIN														
4c. Aadhar (UID) Number * * * * * * * *										Tel/Mobile									
5. Residential/ Registered Address																			
City / Town / Village					State					Country					Pin Code				


1. Name															 <p>PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it with seal</p>				
2. Please tick, if applicable : <input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NPEP <input type="checkbox"/> NRPEP																			
3. Relationship with Applicant (i.e. promoters, whole time directors etc.)																			
4a. PAN					4b. DIN														
4c. Aadhar (UID) Number * * * * * * * *										Tel/Mobile									
5. Residential/ Registered Address																			
City / Town / Village					State					Country					Pin Code				

1. Name															 <p>PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it with seal</p>				
2. Please tick, if applicable : <input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NPEP <input type="checkbox"/> NRPEP																			
3. Relationship with Applicant (i.e. promoters, whole time directors etc.)																			
4a. PAN					4b. DIN														
4c. Aadhar (UID) Number * * * * * * * *										Tel/Mobile									
5. Residential/ Registered Address																			
City / Town / Village					State					Country					Pin Code				

1. Name															 <p>PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it with seal</p>				
2. Please tick, if applicable : <input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NPEP <input type="checkbox"/> NRPEP																			
3. Relationship with Applicant (i.e. promoters, whole time directors etc.)																			
4a. PAN					4b. DIN														
4c. Aadhar (UID) Number * * * * * * * *										Tel/Mobile									
5. Residential/ Registered Address																			
City / Town / Village					State					Country					Pin Code				

1. Name															 <p>PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it with seal</p>				
2. Please tick, if applicable : <input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NPEP <input type="checkbox"/> NRPEP																			
3. Relationship with Applicant (i.e. promoters, whole time directors etc.)																			
4a. PAN					4b. DIN														
4c. Aadhar (UID) Number * * * * * * * *										Tel/Mobile									
5. Residential/ Registered Address																			
City / Town / Village					State					Country					Pin Code				




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Name & Signature of the Authorised Signatory (ies)

PAN*		Name			
Type of address given at KYC KRA	Residential <input type="checkbox"/>	Residential or Business <input type="checkbox"/>	Business <input type="checkbox"/>	Registered Office <input type="checkbox"/>	
City of incorporation		Country of incorporation			
Is the entity involved in / providing any of these services:	Foreign Exchange / Money Changer Services	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	Gaming / Gambling / Lottery Services [e.g. casinos, betting syndicates]	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	Money Laundering / Pawning
					YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
Entity Constitution Type <i>Please tick as appropriate</i>	<input type="checkbox"/> Partnership Firm <input type="checkbox"/> HUF <input type="checkbox"/> Private Limited Company <input type="checkbox"/> Public Limited Company <input type="checkbox"/> Society <input type="checkbox"/> AOP/BOI <input type="checkbox"/> Trust <input type="checkbox"/> Liquidator <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Artificial Juridical Person <input type="checkbox"/> Others specify _____				

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India  Yes  No  *(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)*

Country	Tax Identification Number	Identification Type (TIN or Other, please specify)

\*In case Tax Identification Number is not available, kindly provide its functional equivalent or Company Identification Number or Global Entity Identification Number

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

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### FATCA Declaration

#### PART A *(to be filled by Financial Institutions or Direct Reporting NFFEs)*

1.	We are a, Financial institution <input type="checkbox"/> or Direct reporting NFFE <input type="checkbox"/> <i>(please tick as appropriate)</i>	<b>GIIN</b> <input type="text"/>	<b>Note:</b> <i>If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below</i> Name of sponsoring entity <input type="text"/>
<b>GIIN not available</b> <i>(please tick as applicable)</i> <input type="checkbox"/> Not required to apply for - please specify 2 digits sub-category <input type="text"/>		<input type="checkbox"/> Not obtained – Non-participating FI <input type="text"/>	

#### PART B *(please fill any one as appropriate to be filled by NFEs other than Direct Reporting NFEs)*

1.	Is the Entity a <i>publicly traded company</i> (that is, a company whose shares are regularly traded on an established securities market)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>(If yes, please specify any one stock exchange on which the stock is regularly traded)</i> Name of stock exchange _____
2.	Is the Entity a <i>related entity</i> of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>(If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)</i> Name of listed company _____ Nature of relation: <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company Name of stock exchange _____
3.	Is the Entity an <i>active</i> NFE	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Nature of Business _____ Please specify the sub-category of Active NFE <input type="text"/>
4.	Is the Entity a <i>passive</i> NFE	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Nature of Business _____

### FATCA Terms and Conditions

Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010. Please note that you may receive more than one request for information if you have multiple relationships. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

#### **Certification:**

I have understood the information requirements of this Form (read along with the Instructions & Definitions )and hereby confirm that the information provided by us on this Form is true, correct, and complete. I also confirm that I have read and understood the FATCA Terms and Conditions above and hereby accept the same.

Name	<input type="text"/>
Designation	<input type="text"/>
Signature	Place: <input type="text"/>
	Date: <input type="text"/>

## CONSTITUENT PROFILE

### (A). BANK ACCOUNT DETAILS (THOROUGH WHICH TRANSITIONS WILL GENERALLY BE ROUTED)

<p>1. BANK NAME <input style="width: 90%;" type="text"/></p> <p>ACCOUNT NO : <input style="width: 90%;" type="text"/></p> <p>BRANCH : <input style="width: 90%;" type="text"/></p> <p>ADDRESS: <input style="width: 90%;" type="text"/></p> <p><input style="width: 90%;" type="text"/> PIN CODE: <input style="width: 10%;" type="text"/></p> <p>9DIGIT MICR CODE: <input style="width: 90%;" type="text"/></p> <p>IFSC CODE: <input style="width: 90%;" type="text"/></p> <p>ACCOUNT TYPE: <input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> NRI  <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> OTHERS: _____</p>	<p>2. BANK NAME <input style="width: 90%;" type="text"/></p> <p>ACCOUNT NO : <input style="width: 90%;" type="text"/></p> <p>BRANCH : <input style="width: 90%;" type="text"/></p> <p>ADDRESS: <input style="width: 90%;" type="text"/></p> <p><input style="width: 90%;" type="text"/> PIN CODE: <input style="width: 10%;" type="text"/></p> <p>9DIGIT MICR CODE: <input style="width: 90%;" type="text"/></p> <p>IFSC CODE: <input style="width: 90%;" type="text"/></p> <p>ACCOUNT TYPE: <input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> NRI  <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> OTHERS: _____</p>
-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**For Demat Operations only one Bank Account is acceptable hence please fill in bank details for DP Operation in A-1 above only and also fill the same details on Page No. 26/29**

### (B). DEPOSITORY ACCOUNT DETAILS

	DP Name	DP ID									BENEFICIARY ID					DEFAULT ID
1.	Choice Equity Broking Private Limited	1	2	0	6	6	9	0	0	0						<input type="checkbox"/>
2.	Choice Equity Broking Private Limited	I	N	3	0	1	8	9	5						<input type="checkbox"/>	
3.															<input type="checkbox"/>	

### (C). NRI (Applicable for NRI/FN Clients only):

RBI Ref. No.

RBI Approval Date / / --

\*Please attach copy of permission for dealing in Securities from Authorised Dealer (Bank) /RBI Approval.

### (D). PAST ACTIONS

- Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchanges / Commodity exchanges any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: .....

### (E). DEALINGS THROUGH ANY MEMBER / AUTHORISED PERSONS / OTHER STOCK BROKERS

- If client is dealing through the any member / authorised person, provide the following details:  
 Authorised Person's Name:   
 SEBI Registration No.:   
 Registered office address:   
 Ph:  Fax:  Website:
- Whether dealing with any other stock broker/ authorised person's (if case dealing with multiple stock brokers / authorised person's provide details of all)  
 Name of stock broker   
 Name of Authorised person, if any:   
 Client Code:  Exchange:   
 Details of disputes/dues pending from/to such stock broker / authorised person









### TRADING PREFERENCE OF SECURITIES MARKET

Exchange	Capital	Derivative	Currency
BSE	(4)	(4)	(4)
NSE	(4)	(4)	(4)

### TRADING PREFERENCE OF COMMODITY MARKET

Exchange	Derivative / Options		
BSE (Commodity Exchange)	(4)		
NSE (Commodity Exchange)	(4)		
MCX	(4)		
NCDEX	(4)		
ICEX	(4)		

\* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

### TARIFF SHEET

#### Cash Market/ Capital Market

TRADING				DELIVERY		
Brokerage	Min (P)	(%)	Slab No.	Min (P)	(%)	Slab No.
1st Side	3	0.03 %		3	0.30 %	
2nd Side (Same Day 2nd Side)	3	0.03 %		3	0.30 %	
Brokerage for Trade to Trade / ODD Lot / Z Group Scrips / Commodity Delivery				5	0.50 %	

#### F & O / Derivative Market / Currency Derivative / Commodity Market

Brokerage	EQUITY DERIVATIVES			EQUITY OPTION		CURRENCY DERIVATIVES			CURRENCY OPTION	
	Min (P)	(%)	Slab No.	Per Lot	Slab No.	Min (P)	(%)	Slab No.	Per Lot	Slab No.
1st Side	3	0.03 %		Rs.100/-		3	0.03 %		Rs.100/-	
2nd Side	3	0.03 %		Rs.100/-		3	0.03 %		Rs.100/-	

NIFTY			BANK NIFTY		
Min (P)	(%)	Options	Min (P)	(%)	Options
3	0.03 %	Rs.100/-	3	0.03 %	Rs.100/-
3	0.03 %	Rs.100/-	3	0.03 %	Rs.100/-

COMMODITY DERIVATIVES / OPTIONS		
Min (P)	(%)	Options
3	0.03 %	Rs.100/-
3	0.03 %	Rs.100/-

GOLD		
Min (P)	(%)	Options
3	0.03 %	Rs.100/-
3	0.03 %	Rs.100/-

**Other Charges**

Stamp Duty	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Turnover Tax	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
GST	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**Other Charges**

STATUTORY COST	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
SALES TAX	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
STT/ CTT	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Note :• GST/ Turnover Tax / Sales Tax / Statutory Cost / Stamp Duty / CTT will be applicable as per Government Norms & the above charges are subject to change as per regulatory authorities.


• Clearing Charges Levied on us by clearing member / clearing house would be collected on actuals.

**DECLARATION**

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website
4. I/ We have noted that you trade in OWN/PRO account as per SEBI/MRD/SE/CIR.- 42/2003 dated November 19, 2003 as mandated by the SEBI and on the Exchange( s).
5. I/We hereby confirm that I/We are aware of the Delayed Payment Charges of the Stock Broker.
6. I/We hereby confirm that in case brokerage per exchange per day is less than Rs.10/- then difference will be levied as minimum contract generation charges provided not exceeding 2.5% and also I/ We hereby confirm that I/We are aware of the brokerage charges levied to me / us by the broker.

Place -----

Date

(5) 

**Signature of Client**

**FOR OFFICE USE ONLY**

UCC Code allotted to the Client \_\_\_\_\_

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

**FOR Choice Equity Broking Pvt.Ltd.**



**Signature of the Authorised Signatory**

Date

To,  
**Choice Equity Broking Pvt. Ltd.**

Date :

I/We are dealing through you as a client in Security Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment or Commodity Derivative Market & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
2. I/We request you to retain my/our funds with you for my/our margin / pay-in / other future obligation in the succeeding settlements in the same segments as well as other segments of BSE/NSE/MCX/NCDEX/ICEX where I/We am/are registered with you as clients.
3. I/We request you to settle my fund account, once in every calendar Quarter or once in a calendar Month as given in my/our preferences in KYC form. I/We further instruct that for my/our convenience I/We hereby authorize you to retain an amount of up to Rs.10, 000/- cash segment and for commodity market (net amount across segments and across stock / commodity exchanges) while settling my /our trading accounts.
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite collateral /funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I/We will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 30 days from the date of receipt of funds or statement of account or statement related to it, as the case may be at your registered office.
6. I/We am/are aware that in terms of SEBI Circular CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019 any excess securities available in your client collateral / collateral account will be release to me / us along with funds settlements after making necessary retention as may be permitted by regulators.
7. I/We confirm you that I/We can revoke the above mentioned authority in writing at any time.
8. I/We understand that there will be no inter client adjustments while settling my accounts even if the other client is related to me.

**Once in a Calendar Quarter**       **Once in a Calendar Month**

**Note:** The authorization shall be signed by the client only and not by any authorised person on his behalf or any holder of the Power of Attorney.

**APPENDIX B FOR UNDERTAKING FOR SERVICES BY WAY OF SMS / EMAIL ID ALERTS BY TRADING MEMBER ON MOBILE PHONES / EMAIL ID**

I/We are having a trading account with yourself for the purpose of trading on NSE /BSE / MCX / NCDEX / ICEX.

I/We have registered the mobile number           and email id \_\_\_\_\_ for receiving SMS alerts/ EMAIL alerts in respect of various services being offered by the above respective exchanges mentioned above and Trading Member. Further, I/We are aware that the above exchange also provides trade alerts through SMS /EMAIL alerts and I / We agree to receive the same through above mentioned Email and Mobile number, which is an additional facility provided by them and I / We won't held liable for the same to the respective exchanges and trading member.

A. In respect of trades executed by me/us for investment/trading advisory services received from the trading member. I/ We undertake to the trading member and confirm to use my/our own judgement in taking a call on the said investment(s).

I/We also undertake to the trading member and confirm that I/We execute trades in the identified security(s) and derivative(s) according to my/our financial strength/capability.

I/We declare and agree that the trading member shall not be responsible for any loss suffered by me/us or account of executing or omitting to execute any trades in pursuance of the SMS alerts or EMAIL alerts and/or investment advises sent by the trading member. I/We shall not have any claim whatsoever against the trading member in respect of the above mentioned acts or omissions.

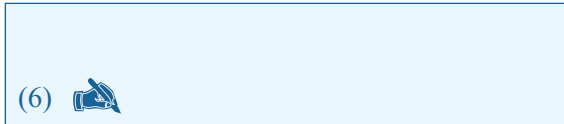
I/We authorize to send consolidated summary of my/our scrip-wise and derivatives-wise details of buy and sell positions taken with average rates to me / us by way of SMS and EMAIL on a daily basis. I/we hereby state that my/our number is not under Do not disturb directory and I / we am/are availing this services on my/our own will and there will be no financial obligations of CHOICE in case if legal disputes. I/We further confirm that if my / our mobile number is under DND then also I/We hereby state that sending any information related to my / our trading or demat account shall not be construed as violation under DND by me/us.

B. In respect of all other intimation services offered by the trading member, I/We undertake to indemnify the trading member and absolve the trading member of any claims on account of various services rendered to me/us in respect of servicing my/ our trading account with them.

I/We agree to the Running Account Facility and SMS Alert / EMAIL Alert facility as per the terms given above.

Client Code: \_\_\_\_\_

Mr./ Ms./ Mrs. \_\_\_\_\_



**FORMAT FOR APPENDIX A  
ELECTRONIC CONTRACT NOTE [ECN] - DECLARATION (VOLUNTARY)**

**To,  
Choice Equity Broking Pvt. Ltd.  
Choice House, Shree Shakambhari Corporate Park,  
J.B. Nagar, Andheri E, Mumbai-400099.**

Dear sir,

I/We \_\_\_\_\_  
a client with Member **M/s.Choice Equity Broking Private Limited** of NCDEX / MCX / ICEX / BSE / NSE Exchange undertake as follows:

- I/We am/are aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I/ We myself want the same in the electronic form.
- I/We am/are aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore, I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me/us.
- I/We have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operations.
- I/We undertake to check the information so forwarded, regularly and bring the discrepancies if any to CEBPL notice within reasonable time frame of issuance / receipt for the same.
- My/Our email id is \_\_\_\_\_.

(The said Email ID must be written in own handwriting.) This has been created by me/us and not by someone else.

- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
- I/We am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above Email ID.

**The above declaration and the guidelines on ECN given in the annexure have been read understood by me/us. I/We, am/are aware of the risk involved in dispensing with the Physical Contract Note and do hereby take full responsibility for the same.**

1. Client Name \_\_\_\_\_  
2. Unique Client Code \_\_\_\_\_ 3. PAN \_\_\_\_\_

I/We also understand that the above instruction will remain valid from the date of signing of this authorization until it is revoked by me/us in writing.

**Verification of the client signature done by:  
Authorised signatory of Member**

Signature of Client

(7) 

**Name & Designation :** \_\_\_\_\_ **Date:**

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## DECLARATION & CONFIRMATION BY CLIENT

Date :

To,  
**Choice Equity Broking Pvt. Ltd.**  
Choice House, Shree Shakambhari Corporate Park,  
J.B. Nagar, Andheri E, Mumbai-400099.

I/ We hereby declare and confirm:

- 1) That I/We hereby agree that the ledger statement in respect of transactions entered into on the Cash, Equity Derivatives & Options, Currency Derivatives & Options, Commodity Derivatives & Options segments of the Exchanges will be combined for the purpose of convenience of the stock broker. I/We hereby authorize you to transfer, make adjustments and/or to set off a part of whole of the securities placed as margin and/or any surplus funds in any of my/our account/(s) maintained with Choice Equity Broking Pvt.Ltd. against the lawful outstanding dues payable if any, by me/us in any of my/our account(s) maintained with or vice versa. Choice Equity Broking Pvt.Ltd. shall have right of lien on the credit balance in any of my/our accounts for the dues of any exchange & segments.
- 2) That I/We hereby agree to pay all the amount due to the broker on its due date. The amount due to the broker shall include all types of margin and pay in obligation. In case if I/We do not make payment by due date I/We understand and agree to pay penal interest chargeable on the amount remaining outstanding as levied by the Stock broker and / or sell the securities lying with the stock broker. In case of debit balance in my account, I/We authorize you to charge Debit instruction charges for transfer of securities from your beneficiary account to my beneficiary account.
- 3) That I/We have a trading account as well as a Demat account with Choice Equity Broking Pvt. Ltd. For the convenience of payment of all the charges, pertaining to my/our demat account, I/We hereby request you to debit my /our trading account with all the DP account charges, as and when the bill is raised by (Depository Participant).
- 4) That I/We hereby declare that there has been no adverse action whatsoever, such as enquiry/adjudication (penalty imposed) / suspension / cancellation / prosecution/de-barring from security market / commodity market, initiated against me/us or against my /our or any of my/our associated entities by SEBI or any other Regulatory authority during last 3 year. I/We undertake to update/inform in case any enquiry or action is initiated by SEBI / regulatory authorities
- 5) That I / We are fully understand and am/are aware that giving false declaration is an offence and can result in certain action including the rejection of application for opening the account and/or deactivation/freezing of my/ our account.
- 6) 'Electronic Payout : I/We hereby, irrevocable, authorise Choice Equity Broking Private Limited to credit my/our bank account electronically i.e. through Net Banking, RTGS, NEFT etc. for all the funds pay out due to me/us. I/We confirm that I/We am/are the first holder and bonafide owner of the given bank account/'s. I/We am/are enclosing documentary proof in this regard for your reference and record. I/We am/are aware and understand the risks associated with electronic transfer of funds and hereby indemnify Choice Equity Broking Private Limited from all liabilities losses resulting either from delay in crediting of pay out amount and / or non execution of such electronic pay out instruction for any reasons beyond the controlled of Choice Equity Broking Pvt. Ltd. I/We have provided Cancelled Cheque /Bank Statement with IFSC Code for you to do the needful.'
- 7) I/We, the undersigned, have taken cognizance of circular of commodity exchanges and on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.
- 8) I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by commodity exchanges or SEBI and such position limits will be calculated in accordance with the contents of above stated circular of commodity exchanges as modified from time to time.
- 9) I/We undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/ Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative through you or through any other member(s) of commodity exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of commodity exchanges as modified from time to time.
- 10) I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on commodity exchanges only on the basis of our above assurances and undertaking.
- 11) I/We hereby further declare that I/We am I are aware of the provisions of the Prevention of Money Laundering Act, 2002 (PMLA) as far as they concern me/us as an investor / client and I/We declare that I am /We are never convicted /barred from dealing in commodities market / securities market in the past under the said Act.
- 12) I/We confirm that I am / We are in compliance with the "Know Your Client" (KYC) guidelines including conducting due diligence required for Anti Money Laundering (AML) checks as prescribed by the FIU / SEBI. I /We further confirm that all KYC and due diligence process has been followed on a continuous basis as long as our account continues to be held with Trading Member. Further I/We agree to submit / provide all the statutory documents / other compliance details pertaining to my / our trading account whenever required, to fulfill my / our obligation under PMLA also.



- 13) I / We hereby further declare that I / We will not indulge either directly or indirectly in any of the above mentioned fraudulent or unfair trade practices either individually or in concert with other persons / entities. In the event of any of the above fraudulent or unfair trade practices noticed by the Commodity Exchange or Stock Exchange or Regulatory Authorities, then I/We shall be solely responsible for such acts as noticed and you shall not be responsible for my illegal and fraudulent and unfair trade practices in the commodities Market / securities markets segment and future and Options segment of the exchanges.
- 14) I/We hereby give this declaration to you without any coercion, with sound mind and voluntarily to you herein.
- 15) I / We are aware that I/We will be liable to bear the penalties / imposition of fines and other charges on non-payments of monies, cheque bounce, increase in open position or any order/trades/deals/action of me /our which are contrary to the agreement/rules/regulation/bye-laws of the exchanges or SEBI from time to time.
- 16) All fines/penalties and charges levied due to me / our acts / deeds or transaction will be debited to my/our trading account directly by the trading member.
- 17) Commodity trading are in electronic form and are generally squared off at the expiry of Contracts series. I / We confirm you that if wish to take physical delivery of any commodity then I/We hereby confirm that it will be at our own risk and initiative. Further, I/We confirm that Choice Broking's responsibility shall end with conveying physical delivery instructions to the concerned commodity Exchange and Choice Broking shall not be responsible for any delay in issue of warehouse receipt from the exchange, any non-delivery of goods by the warehouse for whatever reason or Damage or defective goods delivered by the warehouse.
- 18) I/We authorise you not to provide me/ us Order Confirmation/ Modification/ Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/ We shall get the required details from contracts issued by you.
- 19) I/We hereby authorise you to undertake any transfer inter-se of funds, securities, debits & credits available between various exchanges.
- 20) Authorization on pay out to pay out basis and to release the funds & or deliveries (if any) due to me/us on my / our specific request only. I / we also agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of such deliveries/funds under this agreement or otherwise.
- 21) Pledge / deposit my / our deliveries & / or funds whether deposited as collateral /margin or permitted by us to be retained in the running account etc. by you with any bank or any other institution including but not limited to the Exchanges (s) /Clearing Corporation / Clearing House for the purposes of raising funds, bank guarantees and FDRs etc., or towards Margin / collateral as also to meet shortfall in my / our fund/ deliveries pay in obligation/ auctions or assignment of contracts or any other liability arising out of my/our dealings with you/ through you I/ we authorize you to do all such acts deeds and things as may be necessary and expedient for placing such deliveries with the Exchanges/banks/institutions as margin. You are further authorized to sell/ liquidate these deliveries /FDRs at the time and manner of your choice, as & when deemed fit by you in your absolute discretion to meet any shortfalls in my/our accounts or any other liability of mine/ ours without any reference to me/ us.
- 22) I/We authorise you to liquidate/ close out all or any of my/ our positions for non-payment of margins or other account, outstanding debts etc. I/We agree to bear any and all losses and financial charges on account of such liquidation/ closeout.
- 23) I/We agree that you may refuse to execute any particular transaction without assigning any reason therefor.
- 24) I/We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, strikes, failure of systems, failure of internet links, Government/ regulatory actions or any such other contingencies which may be beyond your control.
- 25) I / We the holder of Aadhaar Number or Virtual Id hereby state that I / We have no objection in giving or sharing my Aadhaar number or Aadhaar copy or Virtual Id with Choice Broking Private Limited (Choice) for opening of trading and demat account and giving my / our consent with a sound mind.
- 26) Further, Choice Equity Broking Private Limited has informed me / us that my / our Aadhaar Number or Aadhaar copy or Virtual Id and KYC information would only be used for KYC verification for Broking / Demat / Commodity / Mutual Funds / Portfolio Management Services, wherein few of these facilities are provided from their group companies and if I / We want to avail the services of the group companies and I / We do not have any objection on the same.
- 27) I / We am /are aware and accept that Choice does not sell or share any personally identifiable information volunteered with CHOICE to any third party (public or private). Any information provided to Choice will be protected from loss, misuse, unauthorized access or disclosure, alteration, or destruction.
- 28) Further, I / We agree with the terms / condition of the Choice Equity Broking Private Limited and agree to abide with the same and give Choice Equity Broking Private Limited authority of consent to use the above mentioned information of limited purpose for on boarding of account opening with BSE/NSE/MCX/NCDEX and ICEX exchanges or any other intermediary respectively.

**FORMAT OF NOC LETTER TO BE SUBMITTED BY  
BROKER'S/EXCHANGE'S EMPLOYEE**

**VOLUNTARY  
DOCUMENT**

To,  
**Choice Equity Broking Pvt. Ltd.**

Date : 

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This is to state that I \_\_\_\_\_ S/oD/o. \_\_\_\_\_

R/o \_\_\_\_\_ is employee with the following Stock Broker / Authorised Person / Remiser/Stock or Commodity Exchange / \_\_\_\_\_ (please strike out which is not applicable).

Further, I / We hereby declare that I am / We are a Stock Broker / Authorised Person / Remiser of the \_\_\_\_\_ (name of the Stock or Commodity Exchange where client is a Stock Broker / Authorised Person / Remiser) and in this regard pursuant to SEBI circular SEBI/MIRSD/CIR-06/2004 dated January 13, 2004.

Further, I / We states that I/We have intimated the said Exchange of my/our intention to open a trading account with Choice Equities Broking Pvt Ltd only for the purpose of my / our proprietary trades (acknowledged the copy of Intimation Letter / Approval Letter / NOC Letter is attached herewith).

**INDEMNITY CUM UNDERTAKING**

1. That I further undertake to open a bank account in accordance with the name as appearing on the Income Tax website within one week from the date of signing this undertaking.
2. I further undertake that in case my name has been changed after approval from government authorities and notified in official gazette, I shall get the name change effected in PAN, Bank account etc. and furnish immediately to CHOICE
3. That I further declare that I am responsible and I shall indemnify & keep indemnified CHOICE, its directors, officers, employees and agents from and against any and all losses, claims, liabilities, obligations, damages, deficiencies, judgements, actions, suits, proceedings arising out of or in relation to corporate benefits, IPO refund, Foreign Exchange Management Act (FEMA), share transfer, dematerialization of securities, rematerialization of securities, dividends, interest, etc., that may arise due to name or signature discrepancy or due to non compliance or any liability suffered or incurred or fastened on to CHOICE due to CHOICE accepting this Declaration-cum-undertaking and/or acting on this basis.

That the contents of this declaration, Indemnity-cum-undertaking have been explained to me in vernacular and I have understood the same before signing it. That this declaration, Indemnity-cum-undertaking given by me to CHOICE is by my absolute free will and without any coercion, undue influence, pressure, etc., and at present I am having sound health and mind.

**VERBAL ORDER ACCEPTANCE AUTHORISATION**

I/We have been / shall be dealing through you as my/ our broker on the Capital Market / Mutual Fund / Futures & Options Segments/Currency Derivative Segments / Commodity Derivative Segments. As my/our broker, I/We direct and authorize you to carry out trading/dealings in my / our account on my/our behalf. Further, as placing any order for buying or selling in writing is a cumbersome process and in practical, hence I / We request you accept verbal / telephonic trade orders placed by me /us.


I / We shall call on your universal number 8899 200200 or any number provided by you or your AP, for placing any order for buying or selling. In case I / We wish to place orders In-Person then I / We shall submit latest format of order instruction hard copy which will be provided to me / us at your branch or at A.P. office.

Further I/We also authorise you to accept our trade request on SMS / Email Id / Whatsapp or any other mode which is feasible or approved by the regulators. I / We understand that Choice Equity Broking Pvt Ltd may place temporary or permanent restriction on one or more methods of order placement as per their risk analysis and technical constraints.

I/We understand the risk associated with verbal orders and I/We shall be liable for all risks, losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above. Further, please note that we shall maintain the records of the trades executed by you whether over the telephone or In-Person or any other mode chosen by you at our premises, as per applicable laws, rules and regulations of SEBI / Exchanges for reasonable time frame. These records may be produced on demand before any Statutory Authority or SEBI or any Regulator Body or Exchanges.

I/We agree to the terms and conditions of the above mentioned declarations.

Thanking you,  
Yours Faithfully

(9) 

**POWER OF ATTORNEY**

To all to whom these presents shall come I/ We Name & Address mentioned on execution page, send greetings.

Whereas I/we hold Beneficial Owner Demat Account number mentioned on execution page with Central Depository Services (India) Limited (CDSL) / National Securities Depository Limited (NSDL), through Choice Equity Broking Private limited (herein after Called 'The Depository Participant) bearing DP ID 12066900 / IN301895 registered with Securities and Exchange Board of India (SEBI) and SEBI Registration Number IN-DP-84-2015.

And Whereas I/we am / are desirous to buy and sell securities through Choice Equity Broking Private Limited who is a stock broker registered with SEBI and member of recognized stock exchange/s.


And Whereas I/we am/ are desirous of appointing Choice Equity Broking Private Limited as my/our constituted attorney to operate my/ our beneficial owner account on my/ our behalf for a limited purpose in the manner hereinafter appearing and subject to conditions as provided herein.

Now know you all and these presents witness that I / we do hereby nominate, constitute and appoint Choice Equity Broking Private Limited (hereinafter referred to as "the stock broker") as my true and lawful attorney and authorize it to perform the following functions on my behalf:


- i. to transfer securities held in my/our under mentioned beneficial owner account(s) or any other account informed by me in writing, to the demat accounts of the stock broker as specified on execution page consisting demat accounts of the stock broker maintained for the purpose of settlement of trades and/or margin obligations arising out of trades executed by me/us on any recognized stock exchange through the stock broker. The List of Broker's Demat accounts and Client Demat Accounts may be updated/amended by approved modes of communication.
- ii. to pledge the securities in favor of the stock broker for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me/us on any recognized stock exchange through the stock broker.
- iii. to return to me/us, the securities that may have been received by the stock broker erroneously or those securities that the stock broker was not entitled to receive from me/us;
- iv. to send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/ email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
- v. to apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers, redemptions etc pursuant to oral / written/ electronic instructions given by me/us to the stock broker.

I/We ratify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein.


I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked in writing by me at any time and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office. However, such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of POA. Further the POA revocation request should be dated & time stamped by the stock broker for ensuring proper audit trail.

(10) 

Signature of First Holder / Karta



Signature of Second Holder / 1st Co-Paceners



Signature of Third Holder / 2nd Co-Paceners



## FORMAT OF DECLARATION FOR JOINT FAMILY ACCOUNT

To,  
**Choice Equity Broking Pvt.Ltd.**  
Choice House, Shree Shakambhari Corporate Park,  
J.B. Nagar, Andheri (East), Mumbai - 400 099.

**Demat Account No.:**

1	2	0	6	6	9	0	0										
I	N	3	0	1	8	9	5										

**Client Code** \_\_\_\_\_

1. WHEREAS the Hindu Undivided Family of \_\_\_\_\_  
(hereinafter referred to as 'the said joint family' and / or 'the said HUF')  
have or desire to have Broking A/c. with M/s **Choice Equity Broking Pvt.Ltd.** (hereinafter to as 'Member') we, the undersigned, hereby declare

- that we are the present adult co-parceners of the said joint family;
- that Mr. \_\_\_\_\_ is the present **Karta** or Manager of the said Joint Family.
- that we are entitled to trade in shares / commodity derivatives and open Share Broking / Commodity Account of the said Joint Family.
- that each one of us has full and unrestricted authority to act on behalf of, and bind, the said HUF & all the present as well as future members, both adults and minors, of the said joint family, howsoever constituted from time to time.

2. We confirm that the affairs of the said joint family and the business of the said HUF are carried on mainly by the Karta/Manager, on behalf and in the interest and for the benefit of all the co-parceners of the said joint family. We hereby authorize the Karta/ Manager on behalf of the HUF to deal on Cash/Capital market segment (CM) and/or Derivatives/Futures and Options segment (F&O) (commodity derivatives ) and the said Trading Member is hereby authorized to honor all instructions oral or written, given by him on behalf of the HUF. The Said Karta is authorized to sell, purchase, transfer endorse, negotiate documents and / or otherwise deal through on behalf of the HUF. He is also authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the said HUF. to the Member and agree and confirm that any claim due to the Member from the said HUF shall be recoverable from the assets of any one or all of us and also from the estate of the said joint family including the interest thereon of every co-parcener of the said joint family, including the share of the minor coparceners, if any.


3. We undertake to inform the Member in writing of any change that may occur in the Kartaship / Managership or in the constitution of the said joint family or to the said HUF and until receipt of such notice by the Member, the Member will be entitled to regard each of us as a member of the said joint family and as a partner of the said HUF and all acts, dealings and transactions purporting to have been done on behalf of the said joint family or of the said HUF before the Member shall have received notice in the manner aforesaid, shall be binding on the said joint family and the said HUF and on our respective estates. We shall, however, continue to be liable jointly and severally to the Member for all dues and obligations of the said HUF in the Member's book on the date of the receipt of such notice by the Member and until all such dues and obligations shall have been liquidated and discharged.


4. The names and dates of birth of the present minor co-parceners of the said joint family are given below. We undertake to inform you in writing as and when each of the said members attains the age of majority and is authorized to act on behalf to, and bind, the said HUF

Name of the Minor	Father's Name	Date of Birth

5. We have received and read a copy of the member's rules and regulations for the conduct of Share Broking / Commodity Account and we agree to comply with and be bound by the said rules now in force or any changes that may be made therein from time to time.

**6. List of Co-Parceners / Karta as on date and our signatures are as follows :**

Sr. No.	Name	PAN No.	Date of Birth / Age	Relation	Signature
1				Karta	
2					
3					
4					



Karta signature with seal

Name of HUF \_\_\_\_\_



## SELF DECLARATION FOR COMMODITY WISE CATEGORIZATION




To,  
**Choice Equity Broking Pvt. Ltd.,**

Dear Sir,

I/We \_\_\_\_\_

having PAN no. \_\_\_\_\_ do hereby declare as under.

I / We declare that I / We have acquired and are having sufficient knowledge about the Commodity Market and are being well acquainted with its procedures / risks. I / We am /are desirous of entering into the Commodity Market for dealings in commodities. I / We wish to trade in Commodity Market of NCDEX / ICEX / MCX / BSE /NSE exchanges respectively. Further I / We declare that I / We come in the following category mentioned below and selected:

CATEGORY CODE	CATEGORY	SELECTION
1	FPOs / Farmers	
2	VCPs / Hedgers	
3	Proprietary Traders	
4	Domestic Financial Institutional Investors	
5	Foreign participants	
6	Others (Trader)	

For others please specify the reason for others \_\_\_\_\_

Further, I / We take full responsibility of the trades executed by me / us on commodity market and I / We confirm you that all the liabilities or any compliance issue or any legal implications in this regard will be solely borne by me / us.

### List of commodities are given below:

MCX - SYMBOL	CATEGORY CODE	MCX - SYMBOL	CATEGORY CODE	NCDEX SYMBOL	CATEGORY CODE	ICEX SYMBOL	CATEGORY CODE
ALUMINIUM		RBDPALM		MOONG		CARDAM	
BRASSPHY		SILVER		PADDY_PB1121		CASTORS	
CARDAMOM		ZINC		RMSEED		COPRA	
CASTORSEED				SUGARM		DIAMOND 1CT	
COPPER				GUARGUM 5		DIAMOND0.3CT	
COTTON				GUARSEED 10		DIAMOND0.5CT	
CRUPALMOIL				SYBEANIDR		GUARS	
CRUDEOIL				SYOREF		ISABGS	
GOLD				TMCFGRNZM		JUTE	
LEAD				WHEATFAQ		Pb1121	
MENTHAOIL				JEERAAUNJHA		PEPPER	
NATURALGAS				KAPAS		RAPES	
NICKEL				MAIZEKHRIF		RUBBER	
PEPPER						SACK	
						SOYOIL	
						STEEL LONG	

\* Any other, commodity other than above mentioned in MCX/NCDEX/ICEX/BSE/NSE exchanges respectively, kindly specify & I/We authorise you to add all other commodities under category OTHERS if I/We did not specify or select from the above list or any new commodity if comes in future.





**Bank Details [Dividend Bank Details]**

Bank Code (9 digit MICR Code)

IFS Code (11Character)  Branch Name

Account Number

Account Type  Saving  Current  Others (Specify)

Bank Name

Bank Branch Address

City  State

Country  PIN

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
  - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

For Gross Annual Income Details / Occupations / For PEP - RPEP / For any other information please refer to Page No.12 of the KYC Form




<b>SMS Alert Facility / CDSL SMART Facility</b>	Mobile No. +91 <input type="text"/> [Mandatory, If you are giving Power of Attorney, (POA) (If POA is not granted & you do not wish to avail of this facility, cancel this option) For terms and conditions for CDSL SMART facility please refer to our website : <a href="http://www.choicebroking.in">www.choicebroking.in</a>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
-------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------

Account Type : BSDA (If yes please provide declaration)  Yes  No

- 1) I / We are aware of the BSDA scheme available with DP as per guideline issued by SEBI and I / We further, state that my / our demat account opened under normal scheme or any other scheme as mentioned in the tariff sheet of DP while account opening and without further reference to me/us DP is authorized to levy the said charges as applicable.
- 2) Further, if I / We want to opt for BSDA scheme at any time then I / We will provide written consent to the said DP for availing the BSDA scheme.

<b>Easi</b>	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.
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I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder	Second Holder	Third Holder
Name	Mr./ Ms./ Mrs.	Mr./ Ms./ Mrs.	Mr./ Ms./ Mrs.
Signature	(12) 		

*(Signatures should be preferably in blue ink)*





**Clearing Member Details ( To be filled by CMS Only)**

Name of Stock Exchange

Name of CC/CH

Clearing Member ID  Trading Member ID

I/ We wish to receive dividend / interest directly in to my bank account as given below through  Yes  No  
ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time ]

**Bank Details [Dividend Bank Details]**

Bank Code (9 digit MICR Code  Branch Name

IFS Code (11Character)  Account No.

Account Type  Saving  Current  Others (Specify)

Bank Name

Bank Branch Address

City  State  PIN

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
  - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

**Details of Politically Exposed Persons (PEP)/Related to Politically Exposed Person (RPEP).**

**For the above point please refer to the Non Individual KYC Annexure Page No. 9**

Transactions Using Secured Texting Facility (TRUST).	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. <input type="checkbox"/> Yes		
	I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input checked="" type="checkbox"/> No		
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)
	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>

<b>SMS Alert Facility / CDSL SMART Facility</b>	Mobile No. +91 <input type="text"/>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	[Mandatory, If you are giving Power of Attorney, (POA) (If POA is not granted & you do not wish to avail of this facility, cancel this option) For terms and conditions for CDSL SMART facility please refer to our website : <a href="http://www.choicebroking.in">www.choicebroking.in</a>	

Account Type : BSDA (If yes please provide declaration)  Yes  No

<b>Easi</b>	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.
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I/We have received and read the document of 'Rights and Obligation of BO-DP (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name	Mr./ Ms./ Mrs.	Mr./ Ms./ Mrs.	Mr./ Ms./ Mrs.
Designation			
Signatures	<input type="text"/>	<input type="text"/>	<input type="text"/>

(Signature should be preferably in black ink)

(In case of more authorised signatories, please add annexure)

## Choice Equity Broking Pvt. Ltd.

SEBI Regn. No. IN-DP-84-2015, CDSL DP ID-12066900 / NSDL DP ID - IN301895  
Choice House, Shree Shakambhari Corporate Park, J.B. Nagar, Andheri (East), Mumbai - 400 099.  
Tel.: (022) 6707 9999 E-mail : dp@choiceindia.com, customercare@choiceindia.com

### DEPOSITORY PARTICIPANT WITH CDSL/NSDL


#### SCHEDULE OF CHARGES FOR CDSL/NSDL - BENEFICIARY ACCOUNT

Special Plan	Life Time AMC Plan <input type="checkbox"/>		Zero AMC Plan <input type="checkbox"/>
Detail of Charges	INDIVIDUAL	CORPORATE	INDIVIDUAL
Annual Maintenance Charge	₹ 1500/-	₹ 5000/- Only Applicable for escrow account	NIL with Deposit of ₹ 3000/-
<b>Basic Plan</b>	1st Year AMC <input type="checkbox"/>	POA Franking charges as applicable for individual and Corporate	
Annual Maintenance Charge	₹ 200/-	₹ 1000/-	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>Transaction Charges</b>			
Debit Instruction from the account to outside Demat	0.02% subject to minimum of ₹ 10/- per instruction.		
Debit Instruction from the account to Market	₹ 10/- per instruction.		
Failed Instruction	₹ 10/- per instruction.		
Demat Requests	₹25/- per certificate or 100 Securities whichever is higher		
Remat Requests	A fee of Rs.10/- for every 100 securities or part thereof; subject to maximum fee of Rs.5,00,000/- or a Flat fee of Rs.10/- per certificate, whichever is higher payable on confirmation of the request.		
<b>Pledge</b>			
Creation/ Unpledge	₹ 50/- or 0.02% whichever is higher		
Invocation	₹ 100/- or 0.05% whichever is higher		


- ❖ All Charges mentioned above are inclusive of CDSL / NSDL charges of Rs. 500/= AMC payable to CDSL / NSDL for Corporate Accounts
- ❖ GST as prescribed would be levied on all charges & Easy Service Facility available free of charge.
- ❖ The above rates are subject to change with 30 days prior intimation OR any other changes in CDSL / NSDL Tariff.
- ❖ All the percentage are on the value of shares and the value calculated from BSE closing price of the Exchange.
- ❖ Separate Cheque required for any of the above plan in favour of "Choice Equity Broking Pvt. Ltd." and Special Plan AMC charges are not refundable.
- ❖ Lost DIS book charges will be Rs. 100/-

#### For ZERO AMC Scheme:-


- ❖ Credit balance will be purely Interest free deposit and waiver of AMC would be available to clients choosing to open account under this scheme
- ❖ Deposit amount would be refunded on Closure of DPA/c after adjusting DP Dues, if any.
- ❖ I/We hereby authorize you to debit and/or withdraw the money from my/our trading account opened with you to pay my/our dues in above Depository Account along with Power of Attorney Stamp Duty Charges.  
I/We have given this authorization to you voluntarily for the purpose of smooth operations of my/our accounts.

(15) 

Signature of First Holder



Signature of Second Holder



Signature of Third Holder

Date : | | | | | | | |



## DECLARATION FOR OPTING OF DIS BOOK- VOLUNTARY

Date : 

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To,  
**Choice Equity Broking Pvt. Ltd.**  
Reg. & Corp. Office : Choice House Shakambhari Corporate Park,  
J.B. Nagar, Off. Sahar Road, Andheri (East), Mumbai - 400 099.

Dear Sir / Madam,




A.  I/ We require the Delivery Instruction Slip (DIS)

OR

B.  I/ We do not require the Delivery Instruction Slip (DIS) for the time being, since I/ We have issued a POA executed in favour of **Choice Equity Broking Pvt. Ltd.** ( CEBPL) for executing delivery instructions for setting stock exchange trades [settlement related transactions] and margin purpose effected through CEBPL. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

<b>DP ID</b>	1	2	0	6	6	9	0	0	<b>CLIENT ID</b>	0	0				
<b>DP ID</b>	I	N	3	0	1	8	9	5	<b>CLIENT ID</b>						

Particulars	First / Sole Holder / Karta	Second Joint Holder	Third Joint Holder
<b>Name</b>			
<b>Signatures</b>	(16) 		

## ACKNOWLEDGEMENT


I / We acknowledge with thanks the receipt of a duly executed copy of the KYC kit with supporting documents, as per SEBI guidelines conveyed through BSE Notice No.20080624 dt: 24/06/2008 / NSE Circular No. NSE/INSP/2008/67 dt:23/06/2008. / FMC Circular No. FMC/4/2011/G/30 ( Ref. No.:Div. III/I/89/07 ) dated December 16,2011..

I / We further acknowledge the receipt of a separate booklet containig all the mandatory documents containing Rights & Obligation of Stock Broker, Authorised Person and Clients / Internet & Wireless Technology Based Trading Facility / Risk Disclosure Document ( RDD ) / Guidance – DO's and DON'T's / Rights & Obligation of Beneficial Owner and Depository Participant / Policies and Procedures of Trading Member along with the Rights & Obligation of NSE / BSE for Margin Trading Funding (MTF) and Terms and Conditions prescribed by CEBPL for MTF.

The above mentioned documents are also available in the Vernacular languages and on our website at <http://www.choicebroking.in/market.php-Account Opening Form - CRF form in vernacular languages equity / commodity and can be downloaded.>

Name of Client: \_\_\_\_\_

Client Code: \_\_\_\_\_

(17) 

Client's Signature / for Non Individual  
Please affix the seal also

**Declaration for Common Mobile Number and EMAIL ID in a Family Account.**

To,  
**Choice Equity Broking Pvt. Ltd.**  
 Choice House Shakambhari Corporate Park  
 J.B. Nagar, Off. Sahar Road, Andheri (East), Mumbai - 400 099.

Date: 

--	--	--	--	--	--	--	--	--	--

Dear Sirs,

**Re: Opening of Trading and Demat Account.**

With reference to my /our application for opening of a Trading and Demat account with you, I / We hereby declare that I / We want all the SMS and E-Mail alerts on the following Email ID and Mobile No. which are mentioned below respectively as per SEBI Circular No. CIR/MIRSD/15/2011 dated August 02, 2011.

Email ID: \_\_\_\_\_

Mobile No: 

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Further, I / We confirm that the above details which have been provided by us belong to our Family Member whose details have been provided by us as under:

Name of the family Member: \_\_\_\_\_

Relationship with the Client: \_\_\_\_\_

Trading account with **Choice Equity Broking Pvt.Ltd.** (if Any): \_\_\_\_\_


I / We also confirm that this request has been given to the Stock Broker / Commodity Broker under exceptional circumstances as I / We am / are dependent on our family member whose details have been mentioned in this declaration (above) and I / We further confirm that I / We don't have any objection to this and I / We give full consent in this regard .

Further, I/We hereby declare that the details furnished above are true and correct to the best of my /our knowledge and belief and I / We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/We may be held liable for it.

Thanking You,

Yours Faithfully,

Signature of the Client:



Client Name: \_\_\_\_\_

Client Code: \_\_\_\_\_

PLEASE NOTE THAT THIS MANDATORY REQUIREMENT TO BE FILLED WITH NAME & CODE OF THE CONCERN PERSON  
**CLIENT INWARD SHEET**

<b>CLIENT CODE:</b>		<b>CLIENT NAME:</b>	
<b>Level</b>	<b>PARTICULARS</b>	<b>NAME OF EMPLOYEE</b>	<b>EMPLOYEE CODE</b>
1	RO		
2	BR PREFIX		
3	VP / AVP		
4	ASM		
5	TL / SM		
6	SRM		
7	RM		
8	SE		
9	REMISIER / DSA		

\*\*\*Cancel which is not applicable.

<b>Particular</b>	<b>Done By</b>	<b>Date &amp; Signature</b>
Inward		
Telecalling		
Preliminary Audit		
Data Entry		
Data Verified by		
A/c. Opened by		
Remark if any		



Equity | Derivatives | Currency | Commodity | PMS\* | Mutual Funds\* | Insurance\*

## Choice Equity Broking Pvt. Ltd

[www.choicebroking.in](http://www.choicebroking.in)

SEBI Reg. No.: INZ000160131

**Member of :**

National Stock Exchange of India Limited (NSE) Equity, Derivative, Currency, SLBM & Debt  
Bombay Stock Exchange Limited (BSE) Equity, Currency & Derivative  
Depository Participant-CDSL / NSDL  
Multi Commodity Exchange of India Limited (MCX)  
National Commodity & Derivative Exchange Limited (NCDEX)  
Indian Commodity Exchange Limited (ICEX)

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Disclaimer: Please read and understand the rights and obligations documents/ RDD/ Do's and Don'ts documents prescribed by SEBI.  
\*These services are offered by our sister companies.

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